

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND THREE HUNDRED AND EIGHTEENTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 1, 1995

The Board of Trustees met at its regular monthly meeting on Friday, December 1, 1995, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 1, 1995 meeting, Board of Trustees

The Chairman, Ambassador Wolf, Ph.D., called the meeting of the Board of Trustees to order on December 1, 1995, at 11:15 a.m. He requested the Secretary to call the roll.

Present: Milton A. Wolf, Chairman, Leslie H. Wexner, Alex Shumate, Theodore S. Celeste, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Thomas C. Smith, and Holly A. Smith.

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### **INVESTMENTS COMMITTEE REPORT**

Mr. Colley:

Mr. Chairman, the Investments Committee met earlier this morning and received reports from Mr. May and Mr. Nichols relating to the University Development Campaign and the Treasurer's Report. At this juncture, Mr. Nichols will relay the Treasurer's Report.

Mr. Nichols:

Thank you, Mr. Colley. This report is for the period October 20 through November 17. Market value of the Endowment on November 17 was \$589.6 million, which is another all-time high. This is approximately \$5.5 million above the number I reported to you last week. The equity portion increased \$4.1 million, the fixed income portion increased \$900,000 during the reporting period, and net new additions total \$1.7 million.

Since July 1, the Endowment has increased \$33.8 million, including net new additions of \$7.8 million. Current asset allocation is 63 percent in equity, 23 percent in fixed, 7 percent in real estate, and 7 percent in cash.

The Investments Committee also reviewed the Investment Managers' Fee Schedule and the individual Investment Managers' performance for 1995 and further back, and discussed the Endowment's current asset allocation.

In October, in a long afternoon meeting, and again in November, in a long afternoon meeting, the Investments Committee had their annual review of both equity and fixed income managers. And as a result of that, under the Investments tab there is a resolution concerning approval of Investment Managers, which includes asset allocation changes and changes in Investment Managers. This resolution is presented for your consideration.

Judge Duncan:

Mr. Chairman, it is my understanding that Ambassador Wolf and Messrs. Shumate, Skestos, Sofia, and Patterson will be abstaining on this vote.

### **APPROVAL OF INVESTMENT MANAGERS**

Resolution No. 96-58

Synopsis: The Investments Committee recommended the approval of Investment Managers.

WHEREAS it is the policy of The Ohio State University to utilize the services of external investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

**APPROVAL OF INVESTMENT MANAGERS (contd)**

WHEREAS it is prudent investment policy to adjust the allocation and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the Investments Committee has also directed the Treasurer to maintain University Managed equity funds which primarily consist of gifts and a University Managed Fixed Income fund which consists of GNMA's, U.S. Treasury & Agency Securities; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them and the Treasurer shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

<u>Manager</u>	<u>Allocations</u>	<u>Date</u>	<u>Market Value as of 11/17/95</u>
Banc One Investment Advisors (Fixed Income)	<u>\$10,000,000</u> <u>\$10,221,032</u>	6/95	
Boatmen's Trust Company (Fixed Income)	\$10,000,000 10,000,000 5,000,000 5,000,000 <u>10,000,000</u>	12/83 4/87 10/90 12/93 12/95	
	<u>\$40,000,000</u>		<u>\$49,820,252</u>
The Chicago Corporation (Equities)	\$10,000,000 7,500,000 (2,500,000) (5,000,000) 1,000,000 5,000,000 5,000,000 (7,500,000) <u>\$13,500,000</u>	10/77 7/78 7/79 7/81 4/83 6/86 3/87 5/89	
			<u>\$64,952,227</u>
The Common Fund (Fixed Income)	<u>\$10,000,000</u> <u>\$10,080,815</u>	6/95	
Holt, Smith, and Renk (Fixed Income)	<u>\$3,000,000</u>	6/95	<u>\$3,051,736</u>
Huntington Trust Company (Fixed Income)	<u>\$10,000,000</u> 12/95 <u>\$10,000,000</u>		
WR Lazard and Company (Fixed Income Index Fund)	\$10,000,000 5,000,000 15,000,000 <u>20,000,000</u> <u>\$50,000,000</u>	8/89 11/90 12/93 12/95	
			<u>\$51,541,673</u>

**APPROVAL OF INVESTMENT MANAGERS (contd)**

<b><u>Manager</u></b>	<b><u>Allocations</u></b>	<b><u>Date</u></b>	<b><u>Market Value as of 11/17/95</u></b>
R. Meeder and Associates, Inc. (Small Capitalization Equity Index Fund)	\$15,000,000 5,000,000 <u>10,000,000</u>	8/89 12/93 12/95	
	<u>\$30,000,000</u>		<u>\$38,332,531</u>
Nicholas-Applegate Capital Management Emerging Growth (Equities)	<u>\$20,000,000</u>	12/93	<u>\$26,012,576</u>
Nicholas-Applegate Capital Management Mid Cap Growth (Equities)	\$10,000,000 5,000,000 5,000,000 <u>10,000,000</u> <u>\$30,000,000</u>	6/86 11/90 3/92 12/95	
			<u>\$67,657,933</u>
University Managed (Equities)	<u>\$28,242,555</u> *	various*	<u>\$66,595,816</u>
University Managed (Fixed Income - GNMA's/U.S. Treasuries and Agencies)	\$10,000,000 2,500,000 10,000,000 <u>(15,000,000)</u> <u>\$ 7,500,000</u>	7/87 12/90 3/92 12/95	
			<u>\$ 8,021,379</u>
University Students (Equities)	\$5,000,000 2,000,000 <u>(2,000,000)</u> <u>\$5,000,000</u>	2/90 12/93 12/95	
			<u>\$8,986,065</u>
Wells Fargo Nikko Investment Advisors (International Equity Index Fund)	<u>\$20,000,000</u>	12/93	<u>\$22,056,761</u>
Wells Fargo Nikko Investment Advisors (Small Capitalization Equity Index Fund)	\$15,000,000 5,000,000 <u>\$20,000,000</u>	3/92 12/93	
			<u>\$28,325,179</u>
Wells Fargo Nikko Investment Advisors (Standard & Poors 500 Equity Index Fund)	\$30,000,000 7,500,000 17,000,000 <u>(20,000,000)</u> ** <u>10,000,000</u> <u>\$44,500,000</u>	10/89 11/90 12/92 2/94 12/95	
			<u>\$74,754,806</u>

\* Numerous dates due to receipt and sale of gifts.

\*\* Temporary reduction.

Upon motion of Mr. Colley, seconded by Mr. Celeste, the Board of Trustees adopted the foregoing resolution with four affirmative votes cast by Messrs. Wexner, Celeste, Colley, and Brennan, and five abstentions by Messrs. Shumate, Skestos, Sofia, and Patterson, and Ambassador Wolf.

**INVESTMENTS COMMITTEE (contd)**

Mr. Colley:

Mr. Chairman, if I may, I'd like to call on Jerry May for the Development Report.

Mr. Jerry May:

Thank you very much, Mr. Colley. Before I share with you the usual report on giving for the fiscal year thus far and the information regarding endowed funds, I'd like to bring you up-to-date regarding progress on the University's Campaign that we kicked off a couple of months ago.

You may recall that we announced an \$850 million goal and at the time of kick-off, we announced \$281.2 million in gifts, pledges, and commitments. We now have moved from \$281 million to \$313 million toward the Campaign goal, \$32 million since the Campaign kick-off. I'd be happy to take any questions with regard to the Campaign progress, otherwise I'll move ahead with the remainder of the regular Development Report.

The regular report is under the Development tab and you'll notice for the first four months of Fiscal Year '96, we are about \$2.5 million ahead of last year's support for the same period. A couple of highlights for you: alumni giving is up \$3.3 million, about 77 percent at this point in time and corporate giving is strong again, up another 25 percent. One that we are very interested in and are watching carefully is unrestricted giving to the colleges and departments, which is up 34 percent, and unrestricted giving to the University is up 182 percent at this point in time.

We will look to see how this trend goes, but, as you know, annual giving is one of the eight major components in this Campaign. We are hoping that the annual giving will continue to rise, because that benefits both the University and the individual colleges within the University in a very significant way. I'm also pleased to report that gifts to the Endowment continue to surpass last year's at this point, \$3.3 million ahead or 84 percent ahead, and we will watch that during the course of the year.

Before I ask Mr. Colley to approve the resolution to establish new named endowed funds, I'd like to highlight -- and you'll see several interesting ones there and people that you know -- Rick and Marty Denman. The Denman Professorship has now been fully funded. Rick and Marty have just completed the funding of their Professorship in Clinical Research in Epilepsy.

Rick Denman, as many of you know, was a charter member of the University Foundation Board and has worked on behalf of the University for more than a decade. They have been very close to the medical school, are close supporters of the Young Scholars Program, and have been active with the College of Business. They are former Ohio natives living now in California, and I believe are representative of the kind of people nationally that have had links to this University, have had links to this state, have not forgotten their roots, and continue to keep Ohio State University in their plans.

Mr. Colley, at this time, I'd ask you to present to the Board of Trustees, the approval of two named professorships, seven new named endowed funds, and the revision of two endowed funds that total \$1,457,726.

**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 96-59

Synopsis: The report on the receipt of gifts and the summary for October 1995 are presented for Board acceptance.

# REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS the funding level has been reached to establish the Richard J. and Martha D. Denman Professorship for Clinical Research in Epilepsy and The Vernal G. Riffe Professorship in Government and Politics; and

WHEREAS this report includes the establishment of seven (7) new named endowed funds and amendments to two (2) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 1995 be approved.

## TOTAL UNIVERSITY PRIVATE SUPPORT

July-October

1994 Compared to 1995

### GIFT RECEIPTS BY DONOR TYPE

	Dollars		July through October
	<u>1994</u>	<u>1995</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$3,282,544	\$6,541,767	99
Alumni (From Bequests)	<u>1,005,935</u>	<u>1,053,717</u>	5
Alumni Total	\$4,288,479	\$7,595,484	77
Non-Alumni (Current Giving)	\$4,500,873	\$1,503,635	-67
Non-Alumni (From Bequests)	<u>952,807</u>	<u>607,157</u>	-36
Non-Alumni Total	\$5,453,680	\$2,110,792	-61
Individual Total	\$9,742,159	\$9,706,276	0 <sup>A</sup>
Corporations/Corp. Foundations	\$6,879,296	\$8,571,145	25 <sup>B</sup>
Private Foundations	\$911,418	\$1,802,984	98 <sup>C</sup>
Associations & Other Organizations	<u>\$823,675</u>	<u>\$769,976</u>	-7
Total	\$18,356,548	\$20,850,381	14

### NOTES

A Overall individual giving is about the same this year as last for the July-October reporting period. Non-alumni giving is down 61% due to a \$3.2 million gift-in-kind received last year from a group of non-alumni. Without this very exceptional gift, non-alumni giving would be up 6% thus far this year.

Alumni have given \$3.3 million more during the first quarter this year than last, highlighted by two gifts totaling nearly \$2.8 million.

B Corporate giving is up 25%. Last year there were 148 corporations providing private support of \$10,000 or more (\$5.1 million). This year private support at the \$10,000 level exceeds \$6.8 million (153 corporations).

C Gifts of \$10,000 or more from private foundations are about \$900,000 more than last year for the first quarter.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT (contd)**

July-October (contd)

1994 Compared to 1995 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>1994</u>	<u>1995</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$5,304,094	\$2,127,780	-60
Program Support	\$7,815,252	\$12,518,586	60
Student Financial Aid	\$3,070,410	\$3,126,497	2
Annual Funds-Colleges/Departments	\$2,049,963	\$2,748,589	34
Annual Funds-University	<u>\$116,829</u>	<u>\$328,929</u>	182
Total	\$18,356,548	\$20,850,381	14

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through October		
	<u>1994</u>	<u>1995</u>	<u>% Change</u>
	\$3,997,855	\$7,343,490	84

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Professorships</u>			
Richard J. and Martha D. Denman Professorship for Clinical Research in Epilepsy (Support for Professorship - College of Medicine)	\$521,534.60		\$521,534.60
The Vernal G. Riffe Professorship in Government and Politics (Support for Professorship - Department of Political Science)		\$500,000.00	\$500,000.00
<u>Establishment of Named Endowed Funds</u>			
The Dayton A. Eldridge Innovation Fund in Business (Funding for New Projects & Activities - Fisher College of Business)		\$166,666.66	\$166,666.66

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The STRS Teacher Education Scholarship Endowment Fund (Scholarships for State Universities of Ohio)		\$152,750.00	\$152,750.00
The Edward J. Ray Commemorative Research Fund (Graduate Student Support - Department of Economics)		\$15,000.00	\$15,000.00

Change in Name and Description of Named Endowed Funds

From: The Dr. William E. Hunt Fund in Neurosurgery  
To: The William E. and Charlotte Curtis Hunt Professorship Fund in Neurosurgery

From: The D. W. Miller, 1869-1947, Endowed Scholarship Fund  
To: The D. W. Miller, 1869-1947, Medical Student Loan Fund

**THE OHIO STATE UNIVERSITY FOUNDATION**

Establishment of Named Endowed Funds

The Mary K. Laughlin and Emery B. Laughlin Endowment Fund (Research & Education Support - Department of Ophthalmology)	\$50,000.00		\$50,000.00
William E. and Mary Ann Arthur Dean's Innovation Fund (Faculty & Student Support - Fisher College of Business)	\$20,000.00		\$20,000.00
The Magdalena M. Fearon Cancer Out-Patient Support Fund (Non-Medical Support for Cancer Out-Patients - The Arthur G. James Cancer Hospital and Research Institute)	\$15,000.00		\$15,000.00
The Dorothy Lewis, Freda and Roy Barnhart and Mary and Frank Jenkins 4-H Fund (4-H Program Support - Clark & Madison Counties & Ohio 4-H Members with Disabilities)	_____	<u>\$16,775.00</u>	<u>\$16,775.00</u>
Total	\$606,534.60	\$851,196.66	\$1,457,726.26



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

**ESTABLISHMENT OF NAMED ENDOWED PROFESSORSHIPS**

**Richard J. and Martha D. Denman Professorship  
for Clinical Research in Epilepsy**

WHEREAS in accordance with the guidelines previously established by the Board of Trustees, the Richard J. and Martha D. Denman Fund was established March 1, 1985, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Richard J. (B.S.Bus.Adm. '57) and Martha D. Denman of Laguna Beach, California; and the name was revised November 1, 1991; and

WHEREAS the required funding level has now been reached:

NOW THEREFORE

BE IT RESOLVED, That the Richard J. and Martha D. Denman Fund be changed to the Richard J. and Martha D. Denman Professorship for Clinical Research in Epilepsy. Future funding will lead to the eventual establishment of a Chair. The Professorship will support the work of a distinguished researcher, teacher and practitioner to be selected by the Dean of the College of Medicine in consultation with Mr. and Mrs. Denman as long as they are alive.

\$521,534.60 (Grandfathered)

**The Vernal G. Riffe Professorship in Government and Politics**

The Vernal G. Riffe Professorship in Government and Politics was established December 1, 1995, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the friends and associates of Vern Riffe.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide salary and/or program support for the work of a distinguished teacher and scholar in the Department of Political Science whose interests focus in one or more areas of American government and politics--legislative, state or democratic political leadership and institutions. Appointment to the Vernal G. Riffe Professorship will be recommended by the Chairperson of the Department of Political Science and the Dean of the College of Social and Behavioral Sciences to the Provost and approved by The Ohio State University Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for these funds should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$500,000.00 (Grandfathered)

**ESTABLISHMENT OF NAMED ENDOWED FUNDS**

**The Dayton A. Eldridge Innovation Fund in Business**

The Dayton A. Eldridge Innovation Fund in Business was established December 1, 1995, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Mr. Dayton A. Eldridge (B.S.Bus.Adm. '36).

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### **ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)**

##### **The Dayton A. Eldridge Innovation Fund in Business (contd)**

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Dean of the Fisher College of Business to fund new projects and activities which further the academic interests of the College. Allocations from this fund will act as catalysts for new program developments and be based on competitive review processes.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$166,666.66

##### **The STRS Teacher Education Scholarship Endowment Fund**

The STRS Teacher Education Scholarship Endowment Fund was established December 1, 1995, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from STRS 75th, Inc., a nonprofit corporation created as part of the 75th anniversary celebration of the State Teachers Retirement System of Ohio (STRS).

STRS 75th, Inc. was established for the purpose of commemorating Ohio teachers by establishing a Teachers' Park at the Ohio Historical Center and commissioning relief statuary for the Ohio Capitol. It was understood at the beginning of the subscription campaign for these commemorative projects that any excess funds would be used to endow teacher education scholarships.

Donor gives its funds to The Ohio State University for the purpose of endowing teacher education scholarships at the state universities of Ohio, subject to the conditions set forth below. The gift shall be invested in the Permanent Endowment Fund of The Ohio State University, with the right to invest and reinvest as the occasion dictates.

The annual income shall be used to provide scholarships for students (undergraduate or graduate) preparing for teacher certification at any of the state universities in Ohio with a college, school, or department of education. Annual scholarship distribution will be overseen by the State University Education Deans (SUED). Scholarships shall be awarded on a rotating basis among the various state universities, with each college, school, or department of education sharing in approximate proportion to the number of students enrolled in their teacher preparation programs during a multiple-year cycle of awards. The Dean of The Ohio State University College of Education (or its successor) shall ensure that a committee of SUED is convened at least annually, that the interest income from the Endowment is distributed in accordance with the wishes of the donor and the deans, and that a report is submitted to the State Teachers Retirement System of Ohio at least annually setting forth the previous year's distributions.

It is the desire of the donor that this fund should benefit the state universities in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, or if the gift or interest generated therefrom should be used in a manner inconsistent with these terms, then the principal of the fund shall be paid to STRS. If that organization ceases to exist, another use shall be designated by the Board of Trustees to carry out the desire of the donor.

\$152,750.00

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### **ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)**

##### **The Edward J. Ray Commemorative Research Fund**

The Edward J. Ray Commemorative Research Fund was established December 1, 1995, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the alumni, friends and associates of Edward J. Ray.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarly activities of the Department of Economics graduate students at the discretion of the department chairperson.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$15,000.00  
(Grandfathered)

#### **CHANGE IN NAME AND DESCRIPTION OF NAMED FUNDS**

##### **The William E. and Charlotte Curtis Hunt Professorship Fund in Neurosurgery**

The Dr. William E. Hunt Fund in Neurosurgery was established March 7, 1986, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund in honor of William E. Hunt (M.D. 1945) from friends and colleagues. Significant contributions were made after the death of Charlotte Curtis Hunt, former Associate Editor of The New York Times and daughter of Dr. George Morrice Curtis, first Professor of Research Surgery at Ohio State. The name and description were revised December 1, 1995.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income will be returned to the principal until it reaches the required level of \$500,000 at which time it is to be activated as a Professorship in Surgery in the Division of Neurologic Surgery, for the purpose of maintaining and enhancing joint teaching and research activities in clinical neurosurgery and the basic neurosciences. Candidates would be recommended to the Provost by the Director of Neurologic Surgery, the Chairs of the Departments of Surgery and Neurology, the Chair of the Neuroscience Program and the Dean of the College of Medicine, for the approval of the Board of Trustees. If funds become available and the principal reaches the required Chair level of \$1,250,000, the Professorship will be converted to a Chair with the same purpose as outlined above.

A special program to raise funds for this Professorship will be instituted upon approval. If, within five years, the funds received or committed are not adequate to support a Professorship, the annual income will be assigned to such other faculty position (or positions) as would support the aims of the fund as described above.

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### **CHANGE IN NAME AND DESCRIPTION OF NAMED FUNDS (contd)**

##### **The William E. and Charlotte Curtis Hunt Professorship Fund in Neurosurgery (contd)**

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the concerned faculty and the Dean of the College of Medicine, in order to carry out the desire of the donors.

##### **The D. W. Miller, 1869-1947, Medical Student Loan Fund**

The D. W. Miller, 1869-1947, Endowed Scholarship Fund was established September 2, 1992, by the Board of Trustees of The Ohio State University through gifts to The Ohio State University Development Fund from Marian Y. Rudd in memory of D. W. Miller (1869-1947), a person whose concern for others helped many. May those who benefit from it find reason to remember him and follow his example. The name and description were revised December 1, 1995.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The income shall be used to provide one or more interest-free loans to students enrolled in the College of Medicine. Selection shall be made with preference to female student(s) from Auglaize or Allen counties and, in turn, other such Ohio residents, although there may be occasional exceptions. Any unused income should be returned to the principal at the end of each fiscal year.

The terms of the loan are under the jurisdiction of the College of Medicine in consultation with the University Committee on Student Financial Aid. Loan terms and application and repayment procedures will be consistent with other College of Medicine and University loan procedures with the exception that repayment may be delayed until 15 years after graduation from the College of Medicine and be interest free.

The selection of the recipient(s) shall be made at the recommendation of the Dean of the College of Medicine in consultation with the University Committee on Student Financial Aid. In awarding the loan funds, it is preferred that the amount be as substantial as possible rather than distributing smaller loan funds to numerous students.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Medicine and with the University Committee on Student Financial Aid in order to carry out the desire of the donor.

### **THE OHIO STATE UNIVERSITY FOUNDATION**

#### **ESTABLISHMENT OF NAMED ENDOWED FUNDS**

##### **The Mary K. Laughlin and Emery B. Laughlin Endowment Fund**

The Mary K. Laughlin and Emery B. Laughlin Endowment Fund was established December 1, 1995, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts designated for support of the Department of Ophthalmology, College of Medicine, from a bequest of the late Mary K. Laughlin, Montgomery County, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

**ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)**

**The Mary K. Laughlin and Emery B. Laughlin Endowment Fund (contd)**

The income shall be used to support research and education programs deemed most vital by the Chairperson of the Department of Ophthalmology in consultation with the Dean of the College of Medicine.

\$50,000.00

**William E. and Mary Ann Arthur Dean's Innovation Fund**

The William E. and Mary Ann Arthur Dean's Innovation Fund was established December 1, 1995 by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts designated for support of the Max M. Fisher College of Business from contributions from William E. (B.S.Bus.Adm. '50; J.D. Law '53) and Mary Ann (B.S.H.E. '54) Arthur, Columbus, Ohio.

The annual income shall be distributed to the Max M. Fisher College of Business to be used by the dean for the following:

The income shall be used to fund activities by faculty and students which relate to those participating in the service economy. Funds shall be awarded on a competitive basis (annually) to support research, teaching, and interaction with service providers and other aspects of the service economy. The donors shall be updated annually on the use of the fund income.

\$20,000.00  
(grandfathered)

**The Dorothy Lewis, Freda and Roy Barnhart and Mary and Frank Jenkins 4-H Fund**

The Dorothy Lewis, Freda and Roy Barnhart and Mary and Frank Jenkins 4-H Fund was established December 1, 1995, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts from Mary Lewis Jenkins in memory of her mother, Dorothy Helm Lewis, by Lester Barnhart (B.S.Agr. '69), Lynda Jenkins Heyl (B.S.H.E. '74; M.S. Human Ecology '78), Barry Lewis Jenkins, and Janet Jenkins Johnson (B.S.Ed. '74, B.A. Humanities '76, M.A. Education '81), in honor of their parents.

Income provided by the Foundation shall be used to support 4-H Volunteer Leader recognition in Clark County, 4-H members enrolled in nutrition projects in Madison County, and Ohio 4-H members with disabilities. The income shall be equally divided and administered by the County Extension professionals directly responsible for 4-H programming in Clark and Madison Counties, and the 4-H State Leader or their designee who is directly responsible for 4-H programming for youth with physical, learning or emotional disabilities. Uses for the leader recognition shall include, but not be limited to: recognition items, banquets, leader trips, plaques or gifts. Uses for 4-H members in nutrition projects shall include: recognition items, student scholarships for nutrition programs at The Ohio State University, nutrition leader training, trips to regional or state nutrition educational events. Uses for support of 4-H members with disabilities shall include but are not limited to: scholarships, special equipment, programs, member travel, camp or conference fees. If no funds are used for disabled 4-H members in a given year, the second preference is to use the funds for needy 4-H member support or returning the unused income to the principal.

\$16,775.00 (grandfathered)

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

**ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)**

**The Magdalena M. Fearon Cancer Out-Patient Support Fund**

The Magdalena M. Fearon Cancer Out-Patient Support Fund for The Arthur G. James Cancer Hospital and Research Institute was established December 1, 1995, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts from Magdalena M. Fearon.

The annual income produced by this endowment fund shall be distributed for the non-medical care of cancer patients while they receive service by the Cancer Hospital. This assistance may include but need not be limited to housing, support groups, transportation to and from the hospital and educational materials. Housing, meals (per diem), and transportation assistance for needy families of cancer patients (out patients or hospital patients) should also be provided. If the University should create a hospice, then it shall share half of the annual income with the Out-Patient Support Fund, to be approved by the Director of The Arthur G. James Cancer Hospital and Research Institute and the Vice President of Health Services.

\$15,000.00 (grandfathered)

Upon motion of Mr. Colley, seconded by Mr. Celeste, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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**PRESIDENT'S REPORT**

President Gee:

Ladies and gentlemen, welcome and thank you very much for joining us today. Some might say that this past month has been one of highs and lows ... but let me put things in perspective. On September 30, when Ohio State played Notre Dame, we honored the members of the 1935 Buckeye team who, sixty years ago, lost to the Irish in Ohio Stadium. Fred Crow, an attorney from Pomeroy, Ohio, was a member of that team. Despite facing some serious health concerns, he made the trip to campus for the game.

He wrote me a wonderful note saying that game day was, "one of the most outstanding days in my lifetime." Mr. Crow wrote a column for the Pomeroy newspaper describing his experience. He said that it was "one of the greatest thrills that I have ever experienced . . . especially when we entered the field as heroes."

Just two weeks later, Mr. Crow passed away at University Hospitals. In the obituary that appeared in the *Columbus Dispatch*, it was proudly noted that Fred Crow was a member of the 1935 Ohio State football team, listed first among many other distinguished accomplishments and contributions to his community.

That I submit to you, ladies and gentlemen, is what this is about -- about pride and loyalty, about dedication and commitment to team. I am enormously proud to be part of a university that means so much to so many people, a university that cares so much about its traditions -- and certainly about its people.

A marvelous group of student athletes will represent us in the Citrus Bowl -- including Eddie George, winner of the Walter Camp Award as the nation's most outstanding college football player.

## **PRESIDENT'S REPORT (contd)**

President Gee: (contd)

Our women's volleyball team is ranked 7th in the nation and just received an invitation to the NCAA tournament. This marks the seventh consecutive year this team has participated in the tournament. Last year we made the Final Four in women's volleyball, a team with a record of excellence.

A member of our faculty was honored this month with the 1995 Excellence in College and University Teaching Award in the Food and Agricultural Sciences. This is a national award and was given to Professor Carl Zulauf, Associate Professor of Agricultural Economics, by the U.S. Department of Agriculture and the National Association of State Universities and Land-Grant Colleges.

Beyond the classroom, Professor Zulauf has involved 22 undergraduates in various research projects and published two peer-reviewed and nine other articles with undergraduates as co-authors. He is, indeed, one of our finest teachers.

This afternoon, we will hold a ceremony honoring former Ohio Governor Richard Celeste with the naming of the Chemistry Building the Richard F. Celeste Laboratory of Chemistry. The faculty and students who remember the old "Sawtooth" Chemistry Building are excited not only by this facility, but also by the addition to the adjacent Evans Lab that is nearing completion.

We announced a wonderful gift this month for the Schottenstein Center, a building which will house, among other things, an arena for the men's and women's basketball programs and ice hockey. The Schottenstein family has been extraordinarily generous to this university in a number of areas, and we are very proud and grateful for this evidence of their continuing support.

This month marked the sixth anniversary of the Wexner Center for the Arts. The gala weekend honored many of the Wexner Center's supporters, and enriched the Center's programs by \$1.5 million. Let me add, that that is a hell of an anniversary present!

A very special party was held at The Frank W. Hale, Jr. Black Cultural Center on Thanksgiving. The Office of Minority Affairs hosted 110 students, faculty, and staff for a free turkey dinner with all the trimmings. For those unable to go home, it was a wonderful opportunity to be with the Ohio State family. In fact, I understand that one mother from the Detroit area read about the dinner and called to see if her son, a student at Capital University, could attend since he could not get home. He joined the Ohio State group for a great afternoon of food and fellowship.

Finally, I want to report that a time capsule has been prepared as part of the university's 125th anniversary. The capsule will be placed in a pillar in the Main Library and is scheduled to be opened in 2070, the 200th anniversary of Ohio State. Students, faculty, and staff contributed items that represent this year -- 1995. Among the objects in the capsule are a university ID card, a telephone receiver containing fiber optics, various pins and patches, and musical CDs. Perhaps one of the most characteristic items of today's students is a backpack. Of course, I would have to note with some pride, that the capsule also contains a bow tie! It is hard to imagine what students will say when the capsule is opened in 75 years! Of course, by then EVERYONE will be wearing bow ties, and I will be recognized as a fashion visionary!

Mr. Chairman, that concludes my report.

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## **STUDENT RECOGNITION AWARD**

Ms. Smith:

I am very honored to recognize Christian Zawodniak as this month's recipient of the Student Recognition Award. Christian is a junior majoring in both English and French and plans to pursue a career in teaching at the high school level.

Christian has exemplified outstanding service and leadership at Ohio State in various ways. To begin with, Christian volunteers his time as a peer tutor for students enrolled in English 110. Students in this English class receive one hour and twenty minutes of tutoring each week from students like Christian. In addition, he is very active in the Undergraduate English Forum which is a group designed to assist English majors in career choices and provide a sense of community for these students. Christian has been very instrumental in organizing forums that address issues of interest to these English majors.

Christian has gone above and beyond the call of duty to represent his fellow English majors. For example, he is a student representative to the English Undergraduate Committee, which is a committee comprised of faculty and staff who review policy changes in the structural frame of the English major curriculum.

Not only has Christian exemplified outstanding service and leadership at Ohio State, but he has also exemplified outstanding service to the Columbus Community. Christian willingly volunteers his time to the Columbus AIDS Service Connection. Each month the AIDS Service Connection sponsors a dinner for people with AIDS at the North Broadway United Methodist Church and Christian volunteers his time by serving the meals. He is committed to this volunteer effort because he feels that these dinners provide a sense of community for those people who are HIV- positive. Clearly Christian is a fine example that in this holiday season it is truly more important to give of your services and talents rather than to receive. Christian, the Board would like to commend you for your service to this University and to the Columbus Community by presenting you with the Student Recognition Award. Congratulations!

Christian would like to make a few remarks. Christian --

Mr. Christian Zawodniak:

I would like to thank everyone for this great award. I think it is great that the Board of Trustees and Ohio State University is putting this kind of focus on undergraduate education, because it is easy to get lost at a big place like this. I would like to read very briefly from a speech I like by James Baldwin which addresses the purpose of education, if I may:

"The purpose of education finally is to create in a person the ability to look at the world for himself; to make his own decisions; to say to himself, this is black or this is white; to decide for himself whether there is a God in Heaven or not; to ask questions of the universe; and then learn to live with those questions is the way he would achieve his own identity. But no society is really anxious to have that kind of person around. What society really ideally wants is a citizenry which will simply obey the rules of society. If a society succeeds in this, that society is about to perish."

I read that not to cast any kind of gloom on this great ceremony, but to stress the importance of a sense of humanity and compassion in our education. Because

#### **STUDENT RECOGNITION AWARD (contd)**



Mr. Zawodniak: (contd)

Germany, before World War II, had the best universities in the world, but they still ended up being led by a maniac who ruined much of the world.

So even though we must have a fine university -- and we do and I love it -- we must also have a fine sense of personal compassion and understanding. It works not only for students, but for all teachers as well.

Also, I would like to thank my mentor, Brenda Brueggemann, who welcomed me into Ohio State's English Department and really helped me get around that big place. So I'd like to say that it is important that we are a great university, but it is also important that we are a great community.

Thank you!

Ambassador Wolf:

Thank you very much, Christian. You certainly deserve that honor and your words were very well received.

Mr. Zawodniak:

Thank you, I really appreciate it.

Ambassador Wolf:

Thank you, Holly.

(See Appendix XXIX for Student Recognition Award, page 601.)

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## **HOSPITALS BOARD REPORT**

Mr. Skestos:

The Hospitals Board met November 30, 1995, at which time it approved the Harding/OSU Joint Venture agreement and thereafter recommended it for approval by the University Board of Trustees. The Hospitals Board also endorsed a loan in the amount of \$250,000 to MedOhio Health, Inc., for filing of a fully refundable deposit with application for Certificate of Authority to operate a health maintenance organization and recommended it to the University Board of Trustees.

The Executive Director presented the Hospitals' annual report which highlighted activities initiated in Fiscal Year 1994-95, as well as the current/future concept strategies of primary care. A panel of physicians and an administrator were available for a question and answer session relating to the development of the Hospitals' primary care network.

Mr. Chairman, that completes my report.

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## **FISCAL AFFAIRS COMMITTEE REPORT**

Mr. Shumate:

Our Committee met earlier today and we received a report on the update for the Debt Reduction Program from Vice President Bill Shkurti. We also received the report and background discussion on the adoption of the District Plan for the North Academic Core Area and in our books is a proposed resolution to be voted on in February. I would point out that the staff is using that document as a guide at the present time and if any Board members have any questions or suggestions, please feel free to contact Vice President Pichette.

At this time, Mr. Chairman, we have several resolutions that I would like to present for Board approval and these resolutions are in our Board books and were discussed at the Fiscal Affairs Committee meeting this morning:

### **WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 96-60

July - September 1995

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University, adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, provides that the President and/or the Vice President for Business and Administration may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists, or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of July - September, 1995; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 101 waivers of competitive bidding requirements for annual purchases totaling approximately \$17,519,700.00, as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 1995, is hereby accepted.

(See Appendix XXI for background information, page 501.)

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS**

Resolution No. 96-61

DOAN HALL - ENDOSCOPY SUITE AND PERIPHERAL VASCULAR LAB  
DOAN HALL ROOF REPLACEMENT

BEVIS AND RIGHTMIRE STRUCTURAL SYSTEMS  
PUBLIC SAFETY BUILDING - HVAC SYSTEM IMPROVEMENT  
OARDC PESTICIDE STORAGE BUILDING  
ATI - PHASES I AND IV, MECHANICAL AND MASONRY IMPROVEMENTS  
ROAD RESURFACING - W. 10TH AVENUE  
WATERLINE EXTENSION - COLLEGE ROAD TO NEIL AVENUE  
STREET AND ROAD IMPROVEMENTS  
SMITH LAB ROOF REPLACEMENT  
ANIMAL SCIENCE MEAT LAB RENOVATION  
CAMPUS LIGHTING RENOVATION, PHASE II  
RESEARCH CENTER ROOF REPLACEMENT  
SMITH LAB REMODELING  
OARDC - OUTLYING BRANCH ROAD  
LARKINS HALL - ROOF REPLACEMENT  
POSTLE HALL - RESEARCH LAB RENOVATION  
OARDC - LIBRARY EXPANSION AND VIDEO CENTER  
STARLING-LOVING STEAM CONVERTER  
MARION CHILDCARE CENTER, PHASE II  
INTEGRATED CHILD CARE CENTER ADDITION  
TURFGRASS FIELD SERVICE BUILDING  
KOFFOLT LAB RENOVATION  
CAMPUS GROUNDS - EXTERIOR PHONES  
ATI-SKOU HALL ROOF REPLACEMENT AND OARDC ROOF REPLACEMENTS  
SOUTH POLO FIELD ADDITION PARKING LOT  
BYRD POLAR - SEDIMENT CORE ARCHIVAL COLDROOM  
RHODES HALL - ATRIUM REFURBISHING  
UNIVERSITY HOSPITAL CLINIC - FIRST FLOOR OFFICES

Synopsis: Authorization to employ architectural/engineering firms and request construction bids for the Doan Hall - Endoscopy Suite and Peripheral Vascular Lab and Doan Hall Roof Replacement projects and accept the report of award of contracts and establishment of contingency funds for the projects indicated.

WHEREAS resolutions adopted by the Board of Trustees on April 7, 1995 authorized proceeding with the Doan Hall Peripheral Vascular Lab and on May 5, 1995 authorized proceeding with the Doan Hall Endoscopy Suite; and

WHEREAS University Hospitals has now determined that it is in the best interest to combine these two projects with a combined estimated total project cost of \$1,200,000 and a combined estimated construction cost of \$950,000, with funding provided by University Hospitals; and

WHEREAS a resolution was adopted by the Board of Trustees on May 5, 1995 authorizing proceeding with the Doan Hall Roof Replacement project involving replacement of the west half on the roof on Doan Hall; and

WHEREAS the University Hospitals has now determined to proceed with replacement of the entire roof with a revised estimated project cost of \$1,180,500 and a revised total estimated construction cost of \$936,500 with funding provided by University Hospitals; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

WHEREAS resolutions adopted by the Board of Trustees on October 5, 1990 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

(\* Recommended alternates included in these amounts)

Bevis and Rightmire Structural Systems

This project removes asphalt walkways on the east side of Bevis Hall and the south side of Rightmire Hall, remove topping to expose concrete decking, waterproof the concrete decking, and install new asphalt. The total project cost is \$115,121; funding is provided from House Bill 808 (\$112,081) and Department of Physical Facilities (\$3,040). The completion date is June 1995. The contract awarded is as follows:

General Contract:	Central Ohio Building Co., Inc., Columbus, Ohio
Amount:	\$88,720
Estimate:	\$94,500
Total All Contracts:	\$88,720
Contingency Allowance:	\$8,873 (10% of construction cost)
Total Project Cost:	\$115,121

Public Safety Building - HVAC System Improvement

This project installs a single package roof mounted air conditioning system to serve the entire building, modify the radiant heating system, and install a new system of direct digital controls. The total project cost is \$285,000; funding is provided from House Bill 808. The completion date is September 1995. The contracts awarded are as follows:

HVAC Contract:	Columbus Heating and Ventilating, Columbus, Ohio
Amount:	\$219,000 +
Estimate:	\$205,500 +
Electric Contract:	Fetter Electric, Newark, Ohio
Amount:	\$16,450
Estimate:	\$31,000
Total All Contracts:	\$234,450
Contingency Allowance:	\$18,185
Total Project Cost:	\$285,000

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

OARDC Pesticide Storage Building

This project will construct approximately 1,500 square feet of enclosed space with proper floor, air handling, utilities and exhaust hood for the storage and mixing of pesticides. The total project cost is \$144,500; funding is provided from House Bill 808. The completion date is September 1995. The contracts awarded are as follows:

General Contract:	Alvada Construction, Inc., Alvada, Ohio
Amount:	\$88,213
Estimate:	\$80,300

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OARDC Pesticide Storage Building (contd)

Plumbing Contract:	Warner Mechanical Corp., Fremont, Ohio
Amount:	\$23,930
Estimate:	\$24,150
Electric Contract:	A. T. Emmett, Inc., Port Clinton, Ohio
Amount:	\$12,622
Estimate:	\$15,250
Total All Contracts:	\$124,765
Contingency Allowance:	\$12,487 (10% of construction cost)
Total Project Cost:	\$144,500

WHEREAS a resolution adopted by the Board of Trustees on May 3, 1991 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

ATI - Phases I and IV, Mechanical and Masonry Improvements

This project improves the mechanical equipment and HVAC controls, and makes masonry improvements and foundation and structural modifications to buildings on the ATI campus in Wooster. The total estimated project cost is \$102,743; funding is provided by House Bill 808. The completion date is September 1995. Contracts awarded are as follows:

General Contract:	Stanley Miller Construction Co., East Sparta, Ohio
Amount:	\$40,807
Estimate:	\$52,300
HVAC Contract:	Schmid Plumbing and Heating, Inc., Wooster, Ohio
Amount:	\$36,400 +
Estimate:	\$23,300
Total All Contracts:	\$77,207
Contingency Allowance:	\$4,941 (6.4% of construction cost)
Total Project Cost:	\$102,743

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS resolutions adopted by the Board of Trustees on February 4, 1993 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Road Resurfacing - W. 10th Avenue

This project provides new wearing surface for West 10th Avenue from Clinic Drive to Neil Avenue. The total project cost is \$81,450; funding is provided from House Bill 904. The completion date is May 1996. The contract awarded is as follows:

General Contract:	Kokosing Construction, Fredricktown, Ohio
Amount:	\$68,000
Estimate:	\$115,700

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Road Resurfacing - W. 10th Avenue (contd)

Total All Contracts:	\$68,000
Contingency Allowance:	\$6,810 (10% of construction cost)
Total Project Cost:	\$81,450

Waterline Extension - College Road to Neil Avenue

This project will complete the water line from College Road to Neil Avenue to provide a reliable water services to the main campus. The total project cost is \$500,000; funding is provided from House Bill 904. The completion date is January 1996. The contract awarded is as follows:

General Contract:	Bale Contracting, Columbus, Ohio
Amount:	\$451,624 * +
Estimate:	\$438,250 * +
Total All Contracts:	\$451,624
Contingency Allowance:	\$22,581 (5% of construction cost)
Total Project Cost:	\$500,000

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Street and Road Improvements

This project resurfaces several streets on central campus including: West 17th Avenue, West 11th Avenue, and Neil Avenue from 11th to South Oval Drive. The total project cost is \$270,320; funding is provided by House Bill 904. The completion date is June 1996. The contract awarded is as follows:

General Contract:	Lionel Construction, Zanesville, Ohio
Amount:	\$238,159 +
Estimate:	\$231,500 +
Total All Contracts:	\$238,159
Contingency Allowance:	\$11,908 (5% of construction cost)
Total Project Cost:	\$270,320

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Smith Lab - Roof Replacement

This project replaces 44% of the roof and renovates the parapet walls. The total project cost is \$145,755; funding is provided by House Bill 904. The completion date is February 1996. The contract awarded is as follows:

General Contract:	Harold J. Becker Company, Dayton, Ohio
Amount:	\$130,049
Estimate:	\$152,400
Total All Contracts:	\$130,049
Contingency Allowance:	\$13,006 (10% of construction cost)
Total Project Cost:	\$145,755

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND**

**REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Animal Science - Meat Lab Renovation

This project renovates sections of the basement and first floor to meet federal government requirements (USDA) for the meat lab operation in the Animal Science Building. The total project cost is \$395,500; funding is provided from House Bill 904. The completion date is December 1995. The contracts awarded are as follows:

HVAC Contract:	Farber Corporation, Columbus, Ohio
Amount:	\$169,600 +
Estimate:	\$150,000 +
General Contract:	Dorsey Construction Co., Columbus, Ohio
Amount:	\$86,350
Estimate:	\$89,400
Electric Contract:	Electric Power Equipment Co., Columbus, Ohio
Amount:	\$55,593
Estimate:	\$70,800
Asbestos Abatement	
Contract:	Hunter Environmental, Seven Hills, Ohio
Amount:	\$10,200
Estimate:	\$25,000
Total All Contracts:	\$321,743
Contingency Allowance:	\$31,140 (9.7% of construction cost)
Total Project Cost:	\$395,500

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Campus Lighting Renovation, Phase II

This project will complete the exterior lighting improvements for safety and security in the areas of the Oval and Mirror Lake Hollow pedestrian circulation paths. The total project cost is \$500,000; funding is provided from House Bill 904. The completion date is May 1996. The contract awarded is as follows:

Electric Contract:	Elite Building/Electric Power Equipment (AJV), Westerville, Ohio
Amount:	\$464,400 +
Estimate:	\$459,500 +
Total All Contracts:	\$464,400
Contingency Allowance:	\$29,756 (6.4% of construction cost)
Total Project Cost:	\$500,000

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Research Center Roof Replacement

This project will replace the deteriorated roof over the entire building. The total project cost is \$477,250; funding is provided from House Bill 904. The completion date is August 1995. The contract awarded is as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Research Center Roof Replacement (contd)

General Contract:	Phinney Industrial Roofing, Inc., Dublin, Ohio
Amount:	\$425,000 *
Estimate:	\$494,560 *
Total All Contracts:	\$425,000 *
Contingency Allowance:	\$42,500 (10% of construction cost)
Total Project Cost:	\$477,250 *

Smith Lab Remodeling

This project remodels space vacated by the Physics Library for classroom use. The total project cost is \$125,000; funding is provided from House Bill 904. The completion date is June 1995. The contracts awarded are as follows:

General Contract:	Williamson Builders, Plain City, Ohio
Amount:	\$63,000 *
Estimate:	\$69,300 *
HVAC Contract:	R. J. Lemmons, Springfield, Ohio
Amount:	\$8,470
Estimate:	\$14,900
Electric Contract:	Knight Electric, Gahanna, Ohio
Amount:	\$33,050
Estimate:	\$39,770
Total All Contracts:	\$104,520 *
Contingency Allowance:	\$5,245 (5% of construction cost)
Total Project Cost:	\$125,000

WHEREAS a resolution adopted by the Board of Trustees on May 7, 1993 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

OARDC - Outlying Branch Roads

This project repairs and resurfaces roads and parking lots and related work at the Southern Branch. The total project cost is \$70,000; funding is provided by House Bill 904. The completion date is July 1996. The contract awarded is as follows:

General Contract:	L. P. Cavett, Cincinnati, Ohio
Amount:	\$58,825
Estimate:	\$59,000
Total All Contracts:	\$58,825
Contingency Allowance:	\$5,268 (9% of construction cost)
Total Project Cost:	\$70,000

Larkins Hall - Roof Replacement

This project replaces the deteriorated roof. The total project cost is \$500,000; funding is provided by House Bill 904. The completion date is August 1996. The contract awarded is as follows:



**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Larkins Hall - Roof Replacement (contd)

General Contract:	Columbus Roofing and Sheet Metal, Columbus, Ohio
Amount:	\$417,350 +
Estimate:	\$408,800
Total All Contracts:	\$417,350 +
Contingency Allowance:	\$38,427 (9.2% of construction cost)
Total Project Cost:	\$500,000

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Postle Hall - Research Lab Renovation

This project renovates outdated research laboratories to meet current/future research lab standards and requirements and to convert outdated clinical facilities into research labs and clinical research labs. The total project cost is \$538,982; funding is provided by House Bill 904 (\$400,000) and College of Dentistry (\$138,982). The completion date is April 1996. The contracts awarded are as follows:

General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$147,600 * +
Estimate:	\$126,000 * +
Plumbing Contract:	J. A. Croson Company, Columbus, Ohio
Amount:	\$51,518 * +
Estimate:	\$48,000 * +
HVAC Contract:	Bloomer Mechanical, Columbus, Ohio
Amount:	\$189,800 *
Estimate:	\$219,561 *
Electric Contract:	R. R. Kinsey, Columbus, Ohio
Amount:	\$45,064 *
Estimate:	\$75,000 *
Total All Contracts:	\$433,982 *
Contingency Allowance:	\$40,410 (9.3% of construction cost)
Total Project Cost:	\$538,982

+ The General and Plumbing Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

OARDC - Library Expansion and Video Center

This project renovates a section of Fisher Auditorium to provide additional space for the library and develop a video center incorporating the video classroom. The total project cost is \$192,000; funding is provided from House Bill 904 (\$132,000) and House Bill 790 (\$60,000). The completion date is December 1995. The contracts awarded are as follows:

General Contract:	Stanley Miller Construction Co., East Sparta, Ohio
Amount:	\$81,030 * +
Estimate:	\$79,600 * +
Mechanical Contract:	Schmid Plumbing and Heating, Inc., Wooster, Ohio
Amount:	\$37,000 +
Estimate:	\$21,000 +

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OARDC - Library Expansion and Video Center (contd)

Electric Contract:	Abbott Electric, Inc., Canton, Ohio
Amount:	\$25,611
Estimate:	\$30,300
Total All Contracts:	\$143,641 *
Contingency Allowance:	\$14,273 (9.9% of construction cost)
Total Project Cost:	\$192,000

+ The General and Mechanical Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS a resolution adopted by the Board of Trustees on February 4, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Starling-Loving Steam Converter

This project replaces the heating, air conditioning, and hot water system in wing A of the building. The total project cost is \$156,650; funding is provided by House Bill 904. The completion date is September 1996. The contracts awarded are as follows:

Mechanical Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$119,700
Estimate:	\$137,300
Electric Contract:	El-O Services Company of Columbus, Pickerington, Ohio
Amount:	\$8,340
Estimate:	\$10,000
Total All Contracts:	\$128,040
Contingency Allocation:	\$12,793 (10% of construction cost)
Total Project Cost:	\$156,650

Marion Childcare Center, Phase II

This project renovates the parking facilities and installs playground facilities. The total project cost is \$167,340; funding is provided by a Board of Regents Grant (\$130,000) and Marion Campus funding (\$37,340). The completion date is November 1995. The contract awarded is as follows:

General Contract:	Downing Construction, Baltimore, Ohio
Amount:	\$140,340 +
Estimate:	\$131,000 +
Total All Contracts:	\$140,340
Contingency Allocation:	\$9,797 (7% of construction cost)
Total Project Cost:	\$167,340

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Integrated Child Care Center Addition

This project constructs an addition to the existing University Child Care Center for a model integrated program serving medically fragile and mentally retarded/developmentally disable children in the State of Ohio. The total project cost is \$643,000; funding is provided by a University line of credit to be repaid by the Child Care Center over a fifteen year period. The completion date is December 1995. The contracts awarded are as follows:

General Contract:	C & N Construction Services, Columbus, Ohio
Amount:	\$356,800
Estimate:	\$392,650
Plumbing Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$33,500
Estimate:	\$41,450
HVAC Contract:	J. A. Guy Inc., Columbus, Ohio
Amount:	\$97,300 +
Estimate:	\$58,300 +
Electric Contract:	Now Electric, Columbus, Ohio
Amount:	\$47,820
Estimate:	\$49,600
Total All Contracts:	\$535,420
Contingency Allowance:	\$44,549 (8.3% of construction cost)
Total Project Cost:	\$643,000

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts in within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS a resolution adopted by the Board of Trustees on May 6, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

Turfgrass Field Services Building

This project provides site work and utilities for the building donated by the Ohio Turfgrass Foundation. The total project cost is \$251,380; funding is provided by the College of Agriculture. The completion date is September 1995. The contract awarded is as follows:

General Contract:	George Igel, Columbus, Ohio
Amount:	\$204,441 +
Estimate:	\$197,500 +
Total All Contracts:	\$204,441
Contingency Allowance:	\$20,446 (10% of construction cost)
Total Project Cost:	\$251,380

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts in within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS a resolution adopted by the Board of Trustees on June 3, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Koffolt Lab Renovation

This project renovates rooms 103 and 117 in Koffolt Laboratory to create two new laboratories. The total project cost is \$446,349; funding is provided by a Board of Regents Grant (\$106,075), a National Science Foundation Grant (\$234,199), and University funds (\$106,075). The completion date is September 1995. The contracts awarded are as follows:

General Contract	Good Home Maintenance, Columbus, Ohio
Amount:	\$157,876
Estimate:	\$197,000
Plumbing Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$57,850 +
Estimate:	\$26,000 +
HVAC Contract:	C. R. Legner, Columbus, Ohio
Amount:	\$55,000 +
Estimate:	\$34,000 +
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$40,885
Estimate:	\$46,000
Total All Contracts:	\$311,611
Contingency Allowance:	\$50,370 (16% of construction cost)
Total Project Cost:	\$446,349

+ The Plumbing and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS a resolution adopted by the Board of Trustees on December 2, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Campus Grounds - Exterior Phones

This project replaces thirty-three existing emergency telephones and installs ninety-two new emergency telephones to enhance security around campus. The total project cost is \$400,000; funding is provided by House Bill 790. The completion date is February 1996. The contract awarded is as follows:

Electric Contract:	Brush Contractors, Grove City, Ohio
Amount:	\$353,028 *
Estimate:	\$371,000 *
Total All Contracts:	\$353,028
Contingency Allowance:	\$17,651 (5% of construction cost)
Total Project Cost:	\$400,000

ATI - Skou Hall Roof and OARDC - Roof Replacement

These projects replace the deteriorated roof on Skou Hall on the ATI campus and Agricultural Engineering and Gerlaugh Hall plus ten shingle roofs on miscellaneous buildings on the OARDC campus. The total project cost is \$373,500; funding is provided by House Bill 790. The completion date is August 1996. The contract awarded is as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

ATI - Skou Hall Roof and OARDC - Roof Replacement (contd)

General Contract:	Lepi Enterprises, Zanesville, Ohio
Amount:	\$314,157
Estimate:	\$583,880
Total All Contracts:	\$314,157
Contingency Allowance:	\$31,440 (10% of construction cost)
Total Project Cost:	\$373,500

South Polo Field Addition Parking Lot

This project provides for better drainage, a paved surface, and new lighting at the current gravel parking lot. The total project cost is \$250,000; funding is provided by Traffic and Parking. The completion date is October 1995. The contracts awarded are as follows:

General Contract:	Strawser Paving, Columbus, Ohio
Amount:	\$111,290
Estimate:	\$291,000
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$91,275
Estimate:	\$97,000
Total All Contracts:	\$202,565
Contingency Allowance:	\$17,520 (8.6% of construction cost)
Total Project Cost:	\$250,000

Byrd Polar - Sediment Core Archival Coldroom

This project provides storage facilities for Russian and American Arctic sediment cores and all associated interior equipment. The total project cost is \$330,000; funding is provided by a Board of Regents Grant (\$65,000), Naval Research Grant (\$200,000) and Office of Research (\$65,000). The completion date is February 1996. The contracts awarded are as follows:

General Contract:	Paul Vlack, Canal Winchester, Ohio
Amount:	\$244,100
Estimate:	\$252,150
Plumbing Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$26,500 * +
Estimate:	\$24,400 * +
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$6,485
Estimate:	\$7,650
Total All Contracts:	\$277,085
Contingency Allowance:	\$23,966 (8.6% of construction cost)
Total Project Cost:	\$330,000

+ The Plumbing Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS a resolution adopted by the Board of Trustees on March 3, 1995 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Rhodes Hall - Atrium Refurbishing

This project renovates the atrium between Rhodes and Doan Halls including installation of a new ceiling, lighting, painting of the north wall along Doan Hall, and cleaning of the sculpture. The total project cost is \$504,500; funding is provided by University Hospitals. The completion date is July 1996. The contracts awarded are as follows:

General Contract:	Field and Associates, Springfield, Ohio
Amount:	\$303,620
Estimate:	\$452,000
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$97,725
Estimate:	\$100,000
Total All Contracts:	\$401,345
Contingency Allowance:	\$69,527 (17% of construction cost)
Total Project Cost:	\$504,500

University Hospitals Clinic - First Floor Offices

This project renovates a suite of general offices on the first floor of the Clinics building to provide outpatient examination areas. The total project cost is \$265,000; funding is provided by University Hospitals. The completion date is March 1996. The contracts awarded are as follows:

General Contract:	Good Home Maintenance, Columbus, Ohio
Amount:	\$124,849
Estimate:	\$150,000
Plumbing Contract:	Franklin Fire Protection, Columbus, Ohio
Amount:	\$48,296 +
Estimate:	\$25,000 +
HVAC Contract:	R. J. Lemmons, Springfield, Ohio
Amount:	\$26,940
Estimate:	\$32,500
Electric Contract:	Charles Hardy Inc., Columbus, Ohio
Amount:	\$33,500 +
Estimate:	\$32,500 +
Total All Contracts:	\$233,585
Contingency Allowance:	\$15,315 (6.6% of construction cost)
Total Project Cost:	\$265,000

+ The Plumbing and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**NOW THEREFORE**

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Doan Hall Endoscopy Suite and Peripheral Vascular Lab and Doan Hall Roof Replacement projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids on the Doan Hall Endoscopy Suite and Peripheral Vascular Lab and the Doan Hall Roof Replacement projects in accordance with established

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS AND  
REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by this Board, the report of award of contracts and establishment of contingency funds for the projects listed is hereby accepted.

(See Appendix XXII for maps, page 503.)

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**ARMS PROJECT - PHASE III**

Resolution No. 96-62

Synopsis: A vote to approve Phase III of the ARMS Project is proposed.

WHEREAS Phase II of the Administrative Resource Management System (ARMS) Project as authorized by the Board of Trustees as its June 2, 1995 meeting has been successfully completed; and

WHEREAS Phase III has been recommended by the appropriate University offices and required funding will be available:

NOW THEREFORE

BE IT RESOLVED, That the University is authorized to proceed with Phase III-A of the ARMS Project as described in the accompanying materials, including authorization to spend up to \$7.0 million; and

BE IT FURTHER RESOLVED, That the University will report back to this Board at the next regularly scheduled Board meeting (February 2, 1996) for authorization to proceed with the remainder of Phase III and with cost and benefits estimates for the life of the project.

(See Appendix XXIII for background information, page 531.)

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**NON-MANDATORY TRANSFERS FROM CURRENT FUNDS  
FISCAL YEAR 1994-95**

Resolution No. 96-63

Synopsis: Transfers to Endowment Principle Funds, Annuity and Life Income Funds, Unexpended Plant Funds, Renewal and Replacement Funds - Remodeling and Renovation, Renewal and Replacement Funds - Repair and Replacement, and Retirement of Indebtedness Funds be submitted for approval.

WHEREAS non-mandatory transfers from current funds for the fiscal year 1994-95 have been made; and

WHEREAS this account procedure is in compliance with the Auditor of State that all transfers among fund groups to reported:

**NON-MANDATORY TRANSFERS FROM CURRENT FUNDS  
FISCAL YEAR 1994-95 (contd)**

NOW THEREFORE

BE IT RESOLVED, That the transfers as detailed in the accompanying report be accepted and approved.

(See Appendix XXIV for charts, page 543.)

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**SOUTHERN OHIO ECONOMIC DEVELOPMENT CORPORATION**

Resolution No. 96-64

WHEREAS the Ohio General Assembly in 1989 appropriated funds for the establishment of the Alternative Agriculture Enterprise Center (the "Enterprise Center") as a unit within the University Extension and has continued such appropriation through the current biennium; and

WHEREAS the purpose of the Enterprise Center is to promote economic growth and development in Southern Ohio through programs encouraging the introduction of specialty crops, marketing, and the establishment of small businesses; and

WHEREAS the Enterprise Center has achieved continuing success through its development programs; and

WHEREAS economic growth in Southern Ohio can further be promoted through the creation of a non-profit corporation which will perform an expanded outreach function and participate directly in small business development and marketing activities:

NOW THEREFORE

BE IT RESOLVED, That The Ohio State University Board of Trustees authorizes The Ohio State University to enter into an agreement with the non-profit corporation to be known as Southern Ohio Development Corporation for participation in an expanded economic development program, upon such terms as the Vice President for Agricultural Administration may deem appropriate; and

BE IT FURTHER RESOLVED, That The Ohio State University is authorized to contribute funds to the support of the Southern Ohio Development Corporation in an amount which the Vice President for Agricultural Administration may deem appropriate, but not to exceed \$100,000 per year; and

BE IT FURTHER RESOLVED, That the Vice President for Agricultural Administration shall provide an annual report to this Board on the activities of the Southern Ohio Development Corporation; and

BE IT FURTHER RESOLVED, That the Vice President for Agricultural Administration is authorized to designate three University employees who are hereby nominated to serve on the board of trustees of Southern Ohio Development Corporation; and

BE IT FURTHER RESOLVED, That the three University employees designated by the Vice President for Agricultural Administration to serve on the board of trustees of Southern Ohio Development Corporation, and any other University trustees, officers, employees, or agents who may subsequently be selected to serve on the board, are hereby authorized to serve in that capacity and to represent the interests of the University as part of their official responsibilities to the University, entitling them to any immunity, insurance or indemnity protection to which trustees, officers, employees and agents of the University are or hereafter may become entitled.

(See Appendix XXV for background information, page 551.)

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**THE OHIO STATE UNIVERSITY**



**AND HARDING HOSPITAL JOINT VENTURE**

Resolution No. 96-65

Synopsis: Authorization to enter into a Joint Venture Agreement with Harding Hospital, Inc., an Ohio non-profit corporation, for the joint provision of mental health services is proposed.

WHEREAS The Ohio State University operates a neuropsychiatric facility providing mental health services to citizens of Central Ohio and opportunities for teaching and research with the College of Medicine; and

WHEREAS the managed care environment in health care continues to reduce utilization of the neuropsychiatric facility thereby jeopardizing its continued financial stability; and

WHEREAS The Ohio State University and Harding Hospital have previously collaborated on initiatives of mutual interest such as a joint psychiatric residency program and the placement of a MedOhio Family Care Center on the Harding campus; and

WHEREAS a joint venture between Harding Hospital and The Ohio State University will allow the development of a more attractive, efficient, and comprehensive program of mental health services to be made available to the community and third party payors; and

WHEREAS a joint venture with Harding Hospital will enhance the teaching and research mission of the College of Medicine:

NOW THEREFORE

BE IT RESOLVED, That The Ohio State University Board of Trustees authorizes The Ohio State University to enter into a Joint Venture Agreement with Harding Hospital for participation in a financially integrated joint venture for the coordinated management of inpatient and outpatient mental health services, upon such specific terms as the Vice President for Business and Administration and the Vice President for Health Sciences may deem appropriate; and

BE IT FURTHER RESOLVED, That The Ohio State University is authorized to contribute up to \$2 million to the support of this joint venture, and to loan funds not to exceed \$2 million to Harding Hospital for its contribution to the joint venture, upon terms further outlined in the Joint Venture Agreement; and

BE IT FURTHER RESOLVED, That as appropriate and as directed by the President, any one or more of the Trustees, officers, and employees of the University are hereby authorized, designated and directed to serve as members of the joint governing body, joint oversight committee, and officers of the joint venture, representing the University in such capacities as part of their official duties and responsibilities to the University and entitling them to any immunity, insurance, indemnity and representation to which Trustees, officer, and employees of the University now are, or hereafter may be, entitled.

(See Appendix XXVI for background information, page 555.)

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**FORMATION OF THE OHIO STATE UNIVERSITY RESEARCH PARK, INC.  
(AN OHIO NONPROFIT CORPORATION)**

Resolution No. 96-66

Synopsis: The Fiscal Affairs Committee recommends authorization to form an Ohio nonprofit corporation, The Ohio State University Research Park, Inc.

**FORMATION OF THE OHIO STATE UNIVERSITY RESEARCH PARK, INC.  
(AN OHIO NONPROFIT CORPORATION) (contd)**

WHEREAS the Board of Trustees established The Ohio State University Research Park on October 5, 1984, as a University operation managed by the Offices of Research and Business and Administration to foster and encourage research affiliations and linkages between the University and private businesses, governmental entities, and nonprofit research organizations; and

WHEREAS there is a need for an enhanced Research Park to expand industry-supported research opportunities, provide greater economic enrichment and development within central Ohio, increase opportunities for technology transfer, increase educational experiences available to our students, and enhance the University's preeminence as a major research institution; and

WHEREAS the Board of Trustees recognizes that this effort requires a partnership approach with substantial involvement and commitment from the State, the City of Columbus, and other local jurisdictions, businesses, and research organizations; and

WHEREAS this Board has determined that there is a need to create a nonprofit corporation to plan, market, promote, finance, and operate an enhanced University Research Park and to undertake other related activities in support of this purpose; and

WHEREAS the formation of a nonprofit research park corporation has been recommended by a special Research Park advisory committee and the appropriate University offices; and

WHEREAS the City of Columbus and the State of Ohio have agreed in principle to support a first phase of study by such a corporation to determine the feasibility of developing an enhanced University Research Park:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes and endorses the establishment of a nonprofit corporation under Chapters 1702 and 1724 of the Ohio Revised Code, that will qualify as an exempt organization under the U.S. Internal Revenue Code, to plan, market, promote, finance, and operate The Ohio State University Research Park, as provided in this resolution; and

BE IT FURTHER RESOLVED, That the University gives its consent to such corporation to use the name and registered marks of the University, as determined appropriate by the President of the University, to carry out the purposes of the corporation; and

BE IT FURTHER RESOLVED, That the President is hereby authorized, in consultation with State, City, other governmental, business, and civic leaders, to identify the six community directors of the corporation, no more than one of whom may be a University employee, who, together with the President of the University, the Vice President for Research, and a Trustee of the University, will constitute the initial board of the corporation; and

BE IT FURTHER RESOLVED, That as appropriate and as directed by this Board or the President, any one or more of the Trustees, officers, and employees of the University are hereby authorized, designated, and directed to serve as an incorporator of the corporation and/or as directors, officers, employees, and agents of the corporation, representing the University in such capacities as part of their official duties and responsibilities to the University and entitling them to any immunity, insurance, indemnity, and representation to which Trustees, officers, and employees of the University now are, or hereafter may be, entitled; and

BE IT FURTHER RESOLVED, That the University is not authorized to delegate any operation of the Research Park to this corporation or to lease or give effective control of any land or buildings to the corporation until this Board has approved a Phase I development plan for the corporation, which plan will include a business plan, marketing plans, infrastructure needs analysis, land use plan, and

**FORMATION OF THE OHIO STATE UNIVERSITY RESEARCH PARK, INC.  
(AN OHIO NONPROFIT CORPORATION) (contd)**

proposed agreements with other governmental entities, the University, and private organizations as appropriate for the necessary support of the development plan; and

BE IT FURTHER RESOLVED, That the Vice President for Research will report to this Board periodically on the activities and progress of The Ohio State University Research Park, Inc.

(See Appendix XXVII for background information, page 559.)

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Mr. Shumate:

A supplemental resolution was passed out to all of the Board members authorizing the appointment of Ted Celeste to The Ohio State University Research Park Board of Directors.

**APPOINTMENT TO THE BOARD OF DIRECTORS OF  
THE OHIO STATE UNIVERSITY RESEARCH PARK, INC.**

Resolution No. 96-67

WHEREAS the Board of Trustees has authorized and endorsed the establishment of The Ohio State University Research Park, Inc., as a nonprofit corporation under Chapters 1702 and 1724 of the Ohio Revised Code, that will qualify as an exempt organization under the U.S. Internal Revenue Code, to plan, market, promote, finance, and operate The Ohio State University Research Park; and

WHEREAS one member of the Board of Directors of this corporation is to be a Trustee of the University, appointed by this Board:

NOW THEREFORE

BE IT RESOLVED, That Resolution No. 95-125, detailing Committee Appointments of the Board of Trustees for 1995-96 be amended to include:

The Ohio State University Research  
Park, Inc., Board of Directors

Theodore S. Celeste

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**HEALTH MAINTENANCE ORGANIZATION**

Resolution No. 96-68

Synopsis: Loan to MedOhio Health, Inc. for filing of deposit with application for certification of authority to operate as a health maintenance organization.

WHEREAS The Ohio State University Medical Center would benefit from a close alignment with an insurance organization, especially a health maintenance organization (HMO); and

WHEREAS the Ohio Medicaid program is essential to the teaching, research and patient care missions of The Ohio State University; and

WHEREAS the Ohio Medicaid program will soon be undergoing significant changes and an HMO aligned with the University will be necessary to preserve the University's position in the Medicaid program; and

WHEREAS the process for qualifying for HMO status requires the immediate submission of an application and \$250,000 filing fee to the Ohio Department of Health:

December 1, 1995 meeting, Board of Trustees

**HEALTH MAINTENANCE ORGANIZATION (contd)**

NOW THEREFORE

BE IT RESOLVED, That the University is authorized to loan \$250,000 to MedOhio Health, Inc. contingent on MedOhio Health, Inc.'s decision to seek a certificate of authority for operation as a health maintenance organization.

Upon motion of Mr. Shumate, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Wexner, Shumate, Celeste, Colley, Skestos, Patterson, Sofia, and Ambassador Wolf.

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### **STUDENT AFFAIRS COMMITTEE REPORT**

Mr. Smith:

Good morning. Yesterday the Committee participated in a discussion led by Eric Busch, Assistant Vice President for Student Affairs, regarding a concept of customer service vis-a-vis students at Ohio State. Along with the Committee and Eric, representatives from various offices across campus discussed both the measures needed to gauge the level of this concept here at Ohio State and why Ohio State should place greater value upon recognizing the student as a customer of its product.

The why part is easy. We must continue to give increasing emphasis to learning what motivates students in terms of attending school here and what satisfies them once they are here in terms of their education and quality of life. Should we not do so, plain economic reality dictates that we will risk losing our competitive edge in the market and our status as Ohio's flagship University.

The Committee concluded yesterday that the next step is to gather information campus-wide in a number of different areas in which the customer concept is being tested including: the CUE project, CQI efforts, and initiatives in Academic Affairs. The Committee plans to revisit this issue prior to the next Board meeting and, with information in hand, determine how best to proceed.

This morning Jim Mager presented a report from the Office of Admissions. He presented the Committee with a great deal of useful information regarding efforts to improve and strengthen the admissions and recruitment process, and responded to several questions by the Trustees.

This month's meeting roughly marks the half-way point in the year for the Board and the Committee, and our plate the past six months has been a full one. We have created a Student Recognition Award to honor students for outstanding service to the community and the University. This month, we are particularly happy that the award will be given from now on at the beginning of the Board meeting to heighten its status as an award of outstanding achievement and we intend to make it even more prestigious in the future in order to draw the very finest recipients.

In addition, we have discussed in detail efforts of the University community and Campus Partners, through the Campus Collaborative for Community Excellence, and the issue of student fees, which has led to the formation of a committee to study the issue. Our Committee also over saw the approval of the Student Health Insurance Plan, the amendments to the Student Trustee Selection Process, and in kicking off our student customer discussion yesterday, we realized our last major goal of 1995.

## **STUDENT AFFAIRS COMMITTEE REPORT (contd)**

Mr. Smith: (contd)

We would have traded all of these things however, for a victory over Michigan. I want to especially thank Vice Presidents Hayes, Pichette, and Shkurti, Eric Busch, and Provost Sisson for their help on all of these issues. Most importantly, we are happy that the Office of Student Affairs has worked closely with the Committee enabling them to give the Board a greater sense of its activities and mission. Holly and I are appreciative to President Gee and the Trustees, especially Jim Patterson, Zuheir Sofia, and our Chairman, Ambassador Wolf, for giving us the freedom to be creative and innovative in building a stronger Committee. Our task for the Spring is very clear: to continue and build what we have started.

Mr. Ambassador, I would now like to call on Jennifer Nelson, Vice President of the Undergraduate Student Government and a newly appointed Student Trustee member to the Campus Partners Board of Trustees, to deliver the student government report. Jennifer, welcome.

Ms. Jennifer Nelson:

Thank you. Hello. I will be giving the student government report today because USG President Kevin Sheriff is at the Association of Big Ten Students Conference at the University of Iowa this weekend and could not be here.

I would just like to update the Board on the activities of the Undergraduate Student Government at this time. KBUX student radio has been running very smoothly and we're excited to have over a hundred student D.J.'s on the air. We have a radio benefit scheduled for the first week of classes Winter Quarter, on January 4, in the Ohio Union Ballroom. We have about six bands committed at this time and are looking forward to even more. It should be a very exciting evening and we would like to invite everyone to be there. We are also continually working towards off-campus broadcasting.

Teacher evaluations will be available for students to use in scheduling Spring Quarter classes and will be published on a permission-granted basis. Because of the many time restraints -- the end of the quarter and the beginning of the new quarter -- we have been working day and night on this project.

Two other items to note: 1) USG's four-part Senate Legislative packet is currently in committee; and 2) USG is also exploring ways to implement the recommendations of the CUE Report with regard to UVC.

CGS would like to commend the many individuals and offices around the University that were involved in coordinating President Clinton's visit and the Midwest Economic Conference. CGS would like to say that this was a fantastic chance to showcase Ohio State and the entire two days was conducted under first-class fashion.

CGS was honored to have Dean Susan Huntington address the Council at their October meeting. Dean Huntington outlined several activities that will improve the graduate student experience at Ohio State, and they are looking forward to working closely with the Graduate School next fall and jointly producing the orientation for incoming graduate students. Dean Huntington will also assist CGS in promoting the annual Graduate Research Forum, which occurs in the spring of each year.

John Wilhelm, President of CGS, would like to report that Provost Sisson invited several members of CGS to visit with him and discuss the proposal for the restructuring of the Graduate School. The Provost agreed to speak at the November 19 Council meeting and entertained questions regarding this situation.

## **STUDENT AFFAIRS COMMITTEE REPORT (contd)**

Ms. Nelson: (contd)

Two weeks ago, the Legislative Affairs Chair of CGS and John Wilhelm attended the Annual Conference of the National Association of Graduate and Professional Students. The primary thrust at the national level revolves around Student Financial Aid and Research Funding. Direct lending has also arisen as a focal point in this arena.

Finally, CGS is compelled to register their concern regarding the new initiatives surrounding the prospect of a student activity fee. From a historical perspective, CGS has not been opposed to the consideration of the fee but has advocated a diligent approach to the evaluation of such a fee based on equity, efficiency, and long-term implications to graduate students.

It also seems that at this juncture, CGS must question the new forum in which the discussion is slated to occur and the justification for this being based on an apparent urgency associated with the budgetary process and the inability of existing policy and decision-making bodies of the University to react in a timely fashion. Short cutting the existing system is likely to produce a poor product or doom a good product through distrust of the underlying motives.

CGS urges that through the Senate Steering Committee representatives of this body, the student governments, faculty, and the administration meet to establish the proper starting place and parameters for continued discussion regarding the student activity fee.

The Interprofessional Council is currently working on matching professional students with students from Everett Middle School. Mr. Ray Paulkin, director of the Adopt-a-School Program for Columbus City Schools, addressed the body and discussed ways that members of IPC can get involved. Many of the students lack positive role models, so he explained the necessity of matching them with tutors and mentors. The school is very flexible in accommodating professional students' schedules, which makes the program very appealing. IPC will begin meeting with students at the beginning of the year.

IPC is also responding to a request by non-law students that the Law Library be made accessible to other segments of the student population. Within the past year, the Law School has added security readers to the Law building, which although are great for safety, effectively closes off the building to non-law students. Considering that law students are a bit elitists, it remains to be seen how this will be resolved. I must comment that this was submitted to me by Mr. Sean Ruffin himself. Nevertheless, they are having discussions to see what alternatives exist.

Lastly, IPC decided on the agenda for the next half of the school year. Agenda items include: tuition and financial aid; Traffic and Parking issues -- i.e., "B" stickers for professional students; and, of course, a social event. IPC would also like to join the other student governments in their concern with the proposed student activity fee. This University, unlike many others, has a rich history of student participation on critical issues. Certainly if the idea of a student activity fee is a good one, students will be receptive. On the other hand, we could start down the slippery slope of ignoring students' good sense, intellect, and desire to do what's best for the University and for students.

That concludes my report from the three student governments.

### **STUDENT AFFAIRS COMMITTEE REPORT (contd)**

Mr. Smith:

Mr. Ambassador, as an elitist law student, that concludes my report. Thank you, Jennifer.

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### **EDUCATIONAL AFFAIRS COMMITTEE REPORT**

Mr. Celeste:

We had a long and very interesting agenda at the Educational Affairs Committee this morning. Provost Sisson commented on the Board of Regents' Master Plan and there was a great deal of discussion on the Master Plan as drafted. Also, the Trustees will have an opportunity to participate in the give and take at the session that is planned in the next week or so.

We also heard a report from Vice Provost Bob Arnold on Distance Learning, which updated us on activities at the University up to this point. It also included a number of experiments and efforts here on campus, as well as some exciting new opportunities going on on the Mansfield and Lima Campuses. While we do have quite a bit going on, there was clearly an indication of the need to make additional efforts in this area.

Vice President Ed Hayes discussed the present status of our Technology Transfer efforts. In particular, what the implications are on the economic impact both to the University and to the community in general of those efforts and what the possibilities might be for the future in those efforts.

We also have three presentations for the full Board this morning, the first being what we talked about instituting, "The Things Gone Right Award." I would call on Provost Sisson and David Williams for this presentation.

### **THE THINGS GONE RIGHT AWARD**

Provost Sisson:

Thank you, Mr. Chairman. We have normally presented an update on CUE activities for the benefit of the Board, also restructuring activities in those academic support units and the academic units themselves. David and I thought that there are reports on "Things Gone Wrong" frequently -- not at the Board meetings, but around the community and whatnot -- but it is very important for us to take notice of things gone right. So we are honored to commence this tradition at this meeting of the Board, and I would ask Professor Williams to give the citations.

Professor David Williams:

Thank you, Provost Sisson. In recognition of the outstanding effort of individuals who have gone beyond the expected and past the ordinary, we are delighted to present the "Things Gone Right Award." With less than three weeks to prepare, staff members from across campus committed extraordinary time and effort to make a special event happen. Calendars were cleared of all previous engagements and as one staff member said, "We were working seven days straight, from 7:00 in the morning until 11:00 at night with hardly any sleep."

In the Ohio State tradition, a group of Ohio State's family did not hesitate to work hard to meet tough deadlines. Students sometimes pull all-nighters to complete end-of-the-quarter term papers; faculty sometimes pull all-nighters to get those Federal Express grant proposals in to meet funding agency deadlines; and, in this



### **THE THINGS GONE RIGHT AWARD (contd)**

Professor Williams: (contd)

case, many dedicated staff members were pulling all-nighters to make this event a success.

To formally express our gratitude today, we honor three of these individuals on behalf of all of the dedicated University employees who made President Clinton's visit and the Midwest Regional Economic Conference possible with the "Things Gone Right Award." Would Bill Hall, Assistant Vice President for Student Affairs, who chaired the campus coordinating committee for the Conference and the President's visit; Jim Stevens, Associate Vice President for Business and Administration/Physical Facilities, who was responsible for the physical set-up for the President's address; and Dick Stoddard, Director of Federal Relations and Special Assistant to the President, who coordinated the original contact between the White House and the University, please come forth to receive these awards. I would like to read "The Things Gone Right Award" at Ohio State:

"This University award presented December 1995 recognizes individuals or groups at Ohio State who have gone beyond the expected and past the ordinary. The "Things Gone Right Award" established by the Committee on the Undergraduate Experience rewards examples of innovation, community building, student involvement, positive impact, and special effort.

Congratulations to these offices: Academic Affairs, the Fawcett Center, Finance, House and Food Service Event Center, Ohio State Marching Band, Office of the President, Physical Facilities, Reprographics and Printing Services, Special Events, UNITS, University Communication, University Development, University Police, the Wexner Center, and WOSU for the outstanding work in preparing for the visit of the President of the United States."

The individuals in these offices worked tirelessly to plan the Midwest Regional Economic Conference and the Presidential address on the Oval in less than three weeks. The efforts let undergraduates experience Ohio State as a leading university in national affairs and gave students the opportunity to see President Clinton, Vice President Gore, and members of the Cabinet. Thanks for showing the nation and the world The Ohio State University pride!

Mr. Bill Hall:

On behalf of literally hundreds of people that really made this happen, I will accept this award, but it was a real pleasure to serve the University in this way. Thank you very much, Dr. Gee, Mr. Ambassador, and the Board for giving us this opportunity.

President Gee:

Thanks to all of you, they did a remarkable job. And thanks to the two of you, what a nice surprise. I, of course, expected to get one, too, but "The Things Gone Wrong Award" will be mine.

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### **UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM**

Mr. Celeste:

Our next presentation is from Ed Ray on the Early Retirement Incentive Program.

**UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM (contd)**

Dr. Edward Ray:

Thank you, Mr. Chairman. You have material in front of you that provides a narrative and some tables of data on where we are with respect to the Early Retirement Program and that certainly doesn't bear repeating here.

What I would like to remind you of is this really is our first summing up of how this program has played out. The retirements were officially closed effective at the end of June. We have had detailed discussions with each of the colleges and departments about how they might want to modify their replacement programs to be as protective as possible of the quality of the programs that we offer in concert with their efforts to redirect resources. So there have been some minor changes relative to some of the earlier reports that I have given to you as we have tried to forecast how this would all play out.

Let me give you some bottom line statistics. We have had effectively 335 full-time equivalent retirements out of a population of 506, which is 63 percent. To give you some perspective, in May of 1994 when we came to the Board and asked you to raise the cap from the 300 that we had started with, we indicated that we anticipated that we might have something on the order of 350 retirements out of a population of about 518. That compares with the figures that I just gave you of 335 out of 506.

If you remember that the nature of the program was to predominately replace retired faculty with faculty at the entry level on a staggered basis over a several year period, you should know that we anticipate -- after our discussions with the colleges and departments -- 221 of the 267 replacements, or 83 percent, to be at the assistant professor level. We expect the actual reduction in line positions to be 56 positions, which represent something less than two percent of the faculty FTE base.

If one looks at the student/faculty ratio that emerges as a result of this in the downsizing and the student population that we have managed over the last four or five years -- when this program has played itself out we expect the student/faculty ratio to be approximately 16.6. That compares with a ratio of 16.8 in 1986 and a high point of 17 in 1994. That is not to say that that is a good figure or it is a competitive place to be if we want to be a major provider of education in America, but it does say that we are not in fact losing ground relative to the relationships that we have managed to maintain in the past.

When I came to the Board in February 1994 to talk about the possibility of an Early Retirement Program, we indicated that we expected -- as a result of the non-replacement of some positions and the replacement of other positions at entry level salaries below exit salaries -- that we might be able to realize something on the order of \$8 million in continuing annual rate savings that could be redirected to other purposes in the University. I am here to report to you that our current estimate of that figure is \$7.7 million.

I also indicated to the Board in November of 1994 -- when we began to get a better sense of what the annual changes in cash flow might be across departments and colleges -- that we anticipated that the cash savings would be somewhere between \$9.5 and \$26.5 million, it obviously depended upon the rate at which we replaced positions and the entry level salaries that were awarded to new hires. I am here to tell you that our current estimate is, in fact, \$18 million, which is below the \$20 million that we indicated might be possible in August. That has to do with making what we think are prudent decisions, about letting some hires occur a little earlier, and at a little more senior level because they represent critical specific hires to maintain program quality in particular areas.

**UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM (contd)**

Dr. Ray: (contd)

A couple of other elements of the plan that I would like to call to your attention include the fact that one of our biggest concerns about this program -- apart from the financials -- would be its impact on the quality of our program offerings, particularly during this transition period, the first year, in which very few replacements are expected to take place.

You should know that departments and colleges worry about the quality of the products that they offer as well and have been anticipating this transition for some period of time. So during the course of this first year, we expect that close to 25 percent of the faculty retirees, through this program, will have some sort of affiliation with their programs, primarily in providing teaching in critical areas. Staffing is going to be required of this sort until the new hires are brought aboard.

Our expectation is that, as the program proceeds, fewer and fewer rehires of retirees will occur as permanent staff come aboard. I can tell you as a practical financial matter that these faculty who are coming back to provide that fill-in teaching in critical areas are, in fact, being paid, on average, \$5,000, which corresponds to an exit average cost per course of just under \$15,000. So, again, I think prudent measures have been taken by people at the department and college level to provide intermediate staffing where it is needed and to do it in a very cost effective way.

You will remember also that we set aside \$1 million in annual rate -- out of the FY '95 budget reduction -- to be used to deal with cash flow and annual rate problems that might emerge in selected areas because of the implementation of the buy-out program. I am here to tell you that, in fact, we will be able to manage and to have negotiated managing the few areas where difficulties exist quite easily. We expect that at the end of this program, almost all of the \$1 million in annual rates -- and most certainly more than half of the \$5 million in cash that was kicked off by that insurance program -- will be available at the end to -- as the Provost has promised -- put resources back into academic enrichment efforts.

Finally, the last element of the program that I should be on record as recognizing now -- because we will need to come back to a discussion of it -- is "What the follow-up program for phased retirement will be for the University?" We, of course, still have the STRS Program in place, but it was a very clear mandate from the Board that this would, in fact, be the last time that we would have an across-the-board Early Retirement Program, and that we need to come up with some substitute mechanism.

Our office, Academic Affairs, will be working over the next few months with Human Resources to develop alternatives and at such time as we have produced them, we will be here before the Board to discuss those alternatives and to get your counsel.

That concludes my report, and I would be happy to respond to any questions.

Mr. Celeste:

Thank you very much, Ed. Are there any questions? If not, I appreciate your report and the status of the program.

(See Appendix XXVIII for background information on the Early Retirement Incentive Program, page 591.)

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## REPORT ON OUTSIDE CONSULTING BY FACULTY

Mr. Celeste:

We have one final presentation this morning from Drs. Rudd and Hayes on outside consulting by faculty.

Dr. Nancy Rudd:

Thank you. About three weeks ago an article in the Columbus Dispatch pointed out deficiencies in the reporting and approval process for faculty members on outside professional service activities. We had begun to address these deficiencies before the article was published. The new handbook for deans, chairpersons, and directors that was disseminated in early October, put these academic administrators on notice with regard to their responsibility and the approval process for such activity. They have been working with their faculty to assure that any who are involved in such outside professional service are reporting that activity and having it approved in compliance with University procedures. In addition, we will be holding a workshop for department chairs next week. They are working with faculty to determine when outside professional service is and is not appropriate.

To put this issue in context, we would like to provide brief comments on the nature of faculty members on outside professional service activities and on administrative efforts -- other than approving the reporting process -- that have been underway to assure that this activity is an appropriate use of faculty time. A thorough discussion of the nature of outside professional service by faculty and its benefits to the University and to the community would require far more time than is available today, but I offer a few highlights.

First, faculty have expertise that is not readily available outside universities and is sometimes unique. Provision of this expertise contributes to economic development and to the alleviation of social problems. Indeed, universities are being called upon to provide increasing amounts of such expertise by entities in both the private and public sector. If faculty were not permitted to engage in outside professional service, the loss to public and private entities in Ohio and beyond would be considerable.

Much of this service, particularly to public entities, does not provide direct compensation to the faculty member. It may provide research opportunities or research funds for faculty members and/or students; it may provide in-kind gifts, such as state-of-the-art laboratory equipment that enhances both instruction and research; or it may provide no compensation at all. It may be purely voluntary.

Second, students benefit by being taught by faculty members with ongoing experience in the professional field for which students are being trained, by being able to participate in the research opportunities that are involved in such activities, and by the connections to prospective employers made by faculty members.

Third, research carried out during outside consulting activities produces both advancements in knowledge and publications that disseminate those findings. These outcomes enhance the University's reputation, in addition to their inherent value.

I might add that most consulting or outside professional service activities is sporadic and does not involve a great deal of time. The number of faculty who are engaged in this kind of activity to the maximum permitted by the University is extremely small and is largely in those colleges, like engineering, where there is a very, very heavy demand for the expertise that faculty members can provide.

While our form of reporting and approval process needs work -- and we have acknowledged that -- it is not the case that our department chairs are unaware of the

## **REPORT ON OUTSIDE CONSULTING BY FACULTY (contd)**

Dr. Rudd: (contd)

outside professional activities of their faculty. In 1993, the Office of Academic Affairs established faculty workload and performance review policies. The first required departments to develop policies that assure that every faculty member is carrying a full complement of responsibilities. The second requires a written annual performance review for every faculty member.

We reported the establishment of these policies to the Board at the time that they were developed. These two policies together, when fully implemented, should assure that outside professional activities are a part of the performance review process and do not take the place of or otherwise interfere with a faculty member's foremost responsibility to effectively teach, conduct research, and perform intrauniversity service.

Vice President Hayes will now comment on another important initiative.

Dr. Edward Hayes:

In parallel with our efforts on workload and performance for the faculty, the University over the past year undertook the development of a conflict of interest policy in parallel with what is going on in many universities. We were required to have a policy in place, as of this October, in order for faculty to be involved as principal investigators on grants funded by the National Science Foundation and the National Institutes of Health.

The inner policy that is in place applies to all research activity and is primarily focused on financial conflicts of interest. The implementation began this summer. During the coming Winter Quarter we will begin a more comprehensive conflict of interest policy that will apply to aspects of faculty work. As anticipated, this policy will deal with both financial conflicts of interest and conflicts of time. This policy will be developed through the normal approved channels for developing such policies, and will ultimately come to the Board when that action is complete for final approval by the Board.

This policy -- and the educational efforts that will accompany it -- will assure that faculty members are sensitive to and avoid potential conflicts of interest. It will also provide a mechanism to help faculty members structure potential conflicts of interest situations, to avoid conflicts, and also to provide a mechanism to inform faculty members that some activities cannot be carried out at all because they entail unavoidable conflicts. I mentioned that with the interim policy, the Office of Research, working with Legal Affairs, have added an additional person to specifically focus on managing potential conflicts of interest situations during this period.

I hope this gives you some perspective as to where we stand in developing more formal conflict of interest policies. We would be happy to answer any questions that you might have.

Mr. Celeste:

Are there any questions from the Trustees on this important issue? If not, thank you very much for your presentation and update on the status in that area.

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Mr. Celeste:

In addition, Mr. Chairman, we do have several resolutions to consider and I would like to ask Provost Sisson to present them.

Provost Sisson:

Thank you, Mr. Chairman. A very short side bar, the body politic of the University -- the minds and vital organs of this University that makes it distinctive -- I have reported on a number of times on restructuring, and the support structures -- the arms, legs, and backbone of the University -- I reported on at the last meeting. I think that this physical model has been most useful in the restructuring of one element within the Office of Academic Affairs. That is, let me refer to my colleague, Ed Ray, as a consequence of his running and finishing the Columbus Marathon three weeks ago. It may take a little time for us to make him restructure himself, but we will assure that that is done. I know it can be done because I ran it with him last year, and I am continuing to restructure myself in preparation for running it with him again.

Mr. Chairman, I present the following resolutions for approval of the Board:

**THE OHIO STATE UNIVERSITY - LIMA  
BOARD APPOINTMENT**

Resolution No. 96-69

Synopsis: Approval of appointment of Board member to The Ohio State University-Lima Board is proposed.

WHEREAS the Board of Trustees on July 8, 1994, approved the establishment of The Ohio State University-Lima Board; and

WHEREAS it has been previously stipulated that "The Lima board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the Lima board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to The Ohio State University-Lima Board for the term as specified:

Appointment of Student Member

<u>Name</u>	<u>Term of Appointment</u>
Troy Green	1 year

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board, effective July 1, 1995.

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**NAMING OF BUILDING**

Resolution No. 96-70

Synopsis: Ratification of the naming of the convocation, sports, and multi-purpose center to be constructed at the corner of Lane Avenue and Olentangy River Road.

### **NAMING OF BUILDING (contd)**

WHEREAS The Ohio State University intends to build a new \$75 million convocation, sports, and multi-purpose center at the corner of Lane Avenue and Olentangy River Road; and

WHEREAS the Schottenstein family has a long and distinguished tradition of philanthropy, service and dedication to the community and to The Ohio State University; and

WHEREAS it is the desire of the Schottenstein family and the Schottenstein Stores Corp., and its subsidiary, Value City Department Stores, Inc., that the University have the finest facility possible to enhance the quality of the life of Ohio State student-athletes, faculty, staff, alumni, and the people of Ohio through sports, entertainment, and other activities; and

WHEREAS the Schottenstein family and the Schottenstein Stores contributed \$12.5 million, the third largest gift ever received by The Ohio State University, toward the construction of this facility:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees hereby ratifies that the aforementioned facility be named "The Schottenstein Center."

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### **NAMING OF CLINIC**

Resolution No. 96-71

Synopsis: Naming of the Plant and Pest Diagnostic Clinic (PPDC), 110 Kottman Hall, 2021 Coffey Road on the main campus of The Ohio State University is proposed.

WHEREAS upon the recommendation of the President and the President's Executive Committee, it has been proposed that the Plant and Pest Diagnostic Clinic located in 110 Kottman Hall at 2021 Coffey Road be named the "C. Wayne Ellett Plant and Pest Diagnostic Clinic" to honor Dr. C. Wayne Ellett, professor emeritus; and

WHEREAS Dr. Ellett served on the faculty of The Ohio State University for more than forty-five years as a professor in both botany and plant pathology; and

WHEREAS his contributions to the University were many, including his expertise in plant disease diagnosis, and most notably, his establishment of the Plant Disease Clinic; and

WHEREAS Dr. Ellett's expertise and willingness to collaborate and work cooperatively with colleagues across many departments have earned him the respect and admiration of many faculty:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned facility shall be named the "C. Wayne Ellett Plant and Pest Diagnostic Clinic."

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### **NAMING OF LIBRARY**

Resolution No. 96-72

Synopsis: Naming of the library in Room 1160 Dodd Hall, 480 W. 9th Avenue on the main campus of The Ohio State University is proposed.

### **NAMING OF LIBRARY (contd)**

WHEREAS upon the recommendation of the President and the President's Executive Committee, it has been proposed that the library located in Room 1160 Dodd Hall at 480 W. 9th Avenue be named the "Richard W. Stow Memorial Library" in memory of the late Richard W. Stow; and

WHEREAS Dr. Stow was a Fulbright Lecturer and prominently noted for his innovations in electromyographic instrumentation; and

WHEREAS he was a recipient of the prestigious "Award for Outstanding Contributions to Clinical Chemistry" for his research in the measurement of blood flow and chemistry in tissues; and

WHEREAS Dr. Stow's exemplary and distinguished career and accomplishments as a biophysicist provide a legacy of excellence at The Ohio State University College of Medicine:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned facility shall be named the "Richard W. Stow Memorial Library."

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### **AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY**

Resolution No. 96-73

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on November 4, 1995:

#### Amended Rule

3335-5-481 Council on academic affairs.

(A) and (B) unchanged.

(C) Organization.

- (1) The chair of the council shall be elected for a one-year term by the council from the membership of the council. The vice chairperson of the council shall be elected for a one-year term BY THE COUNCIL from the ~~regular faculty~~ membership of the council. The chairperson (or in that person's absence, the vice chairperson) shall preside at all meetings of the council. ~~The chairperson shall vote only in case of a tie vote among members of the council present and voting, whereas the vice chairperson shall vote without such restriction, even though presiding.~~

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

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## HONORARY DEGREE

Resolution No. 96-74

Synopsis: The awarding of honorary degree to Jeanne Bonnet McCoy is recommended for approval.

WHEREAS pursuant to paragraph (C) of rule 3335-3-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommends to the Board of Trustees awarding of an honorary degree as listed below:

Jeanne Bonnet McCoy

Doctor of Humane Letters

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

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## PERSONNEL ACTIONS

Resolution No. 96-75

WHEREAS faculty previously honored with assignment of the title of Presidential Professor or University Professor have indicated a desire to have their titles changed to Distinguished University Professor (or Distinguished University Professor Emeritus if retired) in keeping with the title to be conferred under the reactivated award program; and

NOW THEREFORE

BE IT RESOLVED, That all aforementioned previously honored faculty receive the titles of Distinguished University Professor or Distinguished University Professor Emeritus; and

BE IT FURTHER RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 3, 1995 meeting of the Board, including the following Concurrent Appointment, Appointments, Leaves of Absence Without Salary, Leave of Absence Without Salary--Continuation, Professional Improvement Leave--Change in Dates, and Change of Titles as detailed in the University Budget be approved.

### Concurrent Appointment

Name:	SUSAN L. HUNTINGTON
Titles:	Acting Vice Provost for Graduate Studies and Acting Dean
Office/School:	Academic Affairs and Graduate School
Effective:	December 1, 1995
Salary:	N/A
Present Position:	Acting Dean, Graduate School

### Appointments

Name:	JAY D. IAMS
Title:	Professor (The Frederick P. Zuspan, M.D. Professorship in Obstetrics and Gynecology)
Department:	Obstetrics and Gynecology
Effective:	October 1, 1995
Salary:	\$53,472.00

## **PERSONNEL ACTIONS (contd)**

### Appointments (contd)

Name: JOAN M. KRAUSKOPF  
Title: Professor (President's Club Professorship in Law)  
College: Law  
Effective: November 1, 1995  
Salary: \$108,960.00

Name: NANCY H. ROGERS  
Title: Associate Dean and Professor (The Joseph S. Platt - Porter, Wright, Morris & Arthur Professorship in Law)  
College: Law  
Effective: November 1, 1995  
Salary: \$127,920.00

### Leaves of Absence Without Salary

JOSUE CRUZ, JR., Associate Professor, Department of Educational Theory and Practice, effective Autumn Quarter 1995, Winter Quarter and Spring Quarter 1996, to accept a faculty position at the University of South Florida.

ELLEN S. NEWMAN, Associate Professor, Department of Theatre, effective Winter Quarter 1996, for personal reasons.

RICHARD L. STALNAKER, Associate Professor, Department of Mechanical Engineering, effective October 1, 1995, through November 30, 1995, for personal reasons.

PERVIN K. SHROFF, Assistant Professor, Department of Accounting and Management Information Systems, effective Winter Quarter and Spring Quarter 1996, to spend time at the University of California at Berkeley.

### Leave of Absence Without Salary--Continuation

ELIAS L. KHALIL, Assistant Professor, Department of Economics (Mansfield Campus), effective Autumn Quarter 1995 and Winter Quarter 1996, to study the theory of entrepreneurship.

### Professional Improvement Leave--Change in Dates

ELLEN S. NEWMAN, Associate Professor, Department of Theatre, change leave from Winter Quarter and Spring Quarter 1996 to Spring Quarter 1996.

### Change of Titles

#### From Presidential Professor to Distinguished University Professor

GEORGE F. MARTIN

#### From University Professor to Distinguished University Professor

BUNNY C. CLARK  
HARVEY M. FRIEDMAN  
SUSAN L. HUNTINGTON  
LEO A. PAQUETTE

#### From Presidential Professor Emeritus to Distinguished University Professor Emeritus

JAMES R. WARMBROD

## **PERSONNEL ACTIONS (contd)**

### Change of Titles (contd)

#### From Chairperson and Professor Emeritus to Chairperson and Distinguished University Professor Emeritus

GEORGE R. ST. PIERRE

#### From Professor Emeritus to Distinguished University Professor Emeritus

JOHN P. HIRTH

DAVID O. EDWARDS

ROBERT A. RAPP

ARNOLD M. ZWICKY

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## **RESOLUTIONS IN MEMORIAM**

Resolution No. 96-76

Synopsis: Approval of three Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Francis R. Aumann

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 2, 1995, of Francis R. Aumann, Professor Emeritus in the Department of Political Science.

Born in Delaware, Ohio, and a graduate of Ohio Wesleyan, Professor Aumann held an M.A. from Ohio State University and a Ph.D. from the University of Iowa. He was a visiting professor at Ohio Wesleyan University, Wayne State University, the University of Iowa, and Southern Illinois University.

During World War II, he served in the United States Navy and subsequently was a member of the Naval Reserve, from which he retired in 1963 at the rank of Commander.

Professor Aumann was a faculty member in the Department of Political Science from 1928 to 1971, rising quickly through the ranks from Instructor to Professor in 1940. Upon his retirement in 1971, he was appointed Professor Emeritus. In recognition of his valuable contributions to his University and his community, Dr. Aumann was presented with the University's Distinguished Service Award in 1981.

Francis Aumann was an accomplished scholar and teacher and provided valuable service to the Department and the University throughout his over four decades as a member of the faculty. A leading specialist in public law, he was author of seven books and fifty articles in professional journals, including the premier journal of his discipline, the American Political Science Review. Professor Aumann was a highly respected teacher and played a valuable role in shaping the careers of numerous undergraduate and graduate students, whose devotion to him has been expressed over the years by their substantial contributions to maintain the Department's Francis R. Aumann Fund. He also played a significant role in the development of the Department as its national reputation grew during his tenure. His high standards, his conscientiousness, and his collegiality served as a model for all of his colleagues.

After his retirement in 1971, Professor Aumann maintained contact with his department from his long-time home on Como Street in the Clintonville area of Columbus. Through visits to his home, current faculty were able to appreciate his strong commitment to intellectual life as well as his recollections of his profession and his life on the faculty.

## **RESOLUTIONS IN MEMORIAM (contd)**

Francis R. Aumann (contd)

On behalf of the University, the Board of Trustees expresses to the family of Professor Aumann its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Clyde W. Franklin II

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 25, 1995, of Clyde W. Franklin II, Professor Emeritus in the Department of Sociology.

Professor Franklin graduated magna cum laude with a B.A. degree in Sociology from A.M. and N. College, Pine Bluff Arkansas, in 1961. He received his M.A. in Sociology from Atlanta University in 1962, and his Ph.D. degree in Sociology from the University of Washington in 1969.

He began teaching at Ohio State in 1967 and, after 26 years at Ohio State, he decided to retire because of illness in June 1995. Originally Dr. Franklin's research interests were in theoretical social psychology and race relations, but in recent years his work concentrated on the interactions of race and gender. One of the foremost scholars of men's studies, he was the founding editor of The Journal of African American Male Studies. He has published numerous articles on men's lives and written four books in the areas of social psychology and race and gender relations. In his teaching and research, Professor Franklin sought to generate knowledge that would make a difference by making empirically grounded statements about socially and politically important issues.

In addition to expanding knowledge in the fields of race and gender, Professor Franklin never lost his enthusiasm for teaching and mentoring young scholars. His talents and skill as a lecturer allowed him to challenge students in his large introductory sociology course to come to terms with the impact of race and gender inequality in their own lives. Although he was honored with the Distinguished Graduate Teaching Award in Sociology several times during his career, Dr. Franklin's success is measured in the lives of the many women and men he has influenced both intellectually and personally.

A strong advocate of social change, Professor Franklin was respected and admired by his colleagues and students for his unfaltering commitment to social equality for all groups of people. As outspoken as he could be in challenging social injustice, he was also a valued, trustworthy, and loving friend who will be remembered for his kindness, humor, and fun-loving nature. He lived life to its fullest and will be sorely missed as a colleague, a mentor, a teacher, and a friend.

On behalf of the University, the Board of Trustees expresses to the family of Professor Franklin its deep sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Miriam Mooney

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 9, 1995, of Miriam Mooney, Professor Emeritus in the School of Music.

Professor Mooney graduated with honors from Western College for Women and earned a M.A. in literature from Vanderbilt University. She then completed advanced piano study at the Juilliard School in New York and with Tobias Matthay in London. She was on the faculty of the School of Music for 38 years and served as Chair of the Keyboard Section of the School of Music from 1955 until her retirement in 1973.

## **RESOLUTIONS IN MEMORIAM (contd)**

Miriam Mooney (contd)

She served on the Board of Directors of the Ohio Federation of Music, the Columbus Symphony, and the Ohioana Library, and was a founder and life member of the Board of Opera Columbus. She also was a member of Wednesday Literary Club and served as a Deacon at the Broad Street Presbyterian Church.

Her many students and colleagues will always remember her kindness and her constructive leadership.

On behalf of the University, the Board of Trustees expresses to the family of Professor Mooney its deep sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Upon motion of Mr. Celeste, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Wexner, Celeste, Colley, Skestos, Patterson, Sofia, and Ambassador Wolf.

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## **REPORT ON RESEARCH FOUNDATION**

Mr. Celeste:

For the purpose of the Research Foundation report, I'd like to call on Dr. Hayes.

Dr. Hayes:

The Board should have the report for October 1995 Grants and Contracts which was previously distributed to each of the members.

Expenditures from external sources are at Tab 1. They are below last year by 8.3 percent for a total of \$56.3 million through October 31, 1995. Much of this reduction in the rate of expenditures is due to the normal conservatism of our faculty waiting to see what is going to happen in Washington as Congress figures out how it is going to balance the budget. The immediate result is that it looks like our return on indirect cost recoveries for this year may be down by as much as two or three percent.

Awards for this year are in excess of \$63.7 million or 3.9 percent below the July to October time period last year. A summary of the awards' total is given in the second section of this report. With the continuing uncertainty surrounding the '96 budget, for most agencies, we're likely to continue to experience further delays in the receipt of new awards. For instance, just last month the National Science Foundation was shut down at the time that the National Science Board was supposed to meet and approve various key programs. So there are a number of activities which have been delayed and the final appropriations for a number of the agencies have not yet been approved for this year.

At Tab 3 there are five projects highlighted that cover the broad range of scholarly activities that receive funding. I'll just mention these for your information. The Center for Human Resources received funding from the Bureau of Labor Statistics for the collection and production of data from the National Longitudinal Surveys. The data are used by economists, sociologists, and other researchers in government, academic research community, and private organizations to examine a variety of policy issues related to the labor market.

### **REPORT ON RESEARCH FOUNDATION (contd)**

Dr. Hayes: (contd)

The Byrd Polar Research Center has received funding from NASA for a remote sensing system to be used in the Antarctic continent. Dr. Pollock has received funding from the National Institute on Aging for a study on disruptive nocturnal behaviors of elders residing with caregivers. In addition, several faculty in Pathology have received funds for a multidisciplinary study using boron compound as a capture agent on patients with brain tumors. And Robert Suber, from the Office of Minority Affairs, has received funding for the Upward Bound Project to empower low-income youth to persist in their educational and career pursuits.

In Tab 4 is a complete list of new awards received in October. Tab 5 provides data on the Office of Technology Transfer and Licensing. Some details of this activity were presented to the Educational Affairs Committee, as Mr. Celeste mentioned earlier. At Tab 6 you'll find the list of awards, fellowships, and prizes that have been provided to individual faculty members and we do this once a year. The faculty in the Colleges of Arts and Humanities, in many cases, receive awards directly, as opposed to receiving them through the Research Foundation.

Mr. Celeste, this concludes my report. A motion to approve the research agreement between The Ohio State University and The Ohio State University Research Foundation would be appropriate at this time.

### **REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 96-77

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 1995 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 1995 be approved.

Upon motion of Mr. Celeste, seconded by Mr. Skestos, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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Thereupon the Board adjourned to meet Friday, February 2, 1996, at The Ohio State University Ohio Union, Columbus, Ohio.

Attest:

Robert M. Duncan  
Secretary

Milton A. Wolf, Ph.D.  
Chairman